

Funding Opportunity Number USDA-TS-RMED-2015

UNITED STATES DEPARTMENT OF AGRICULTURE

Federal Crop Insurance Corporation

Funding Opportunity Title: Crop Insurance Education in Targeted States

Announcement Type: Announcement of Availability of Initial Funds and Request for Applications (RFA) for Competitive Cooperative Agreements.

Catalog of Federal Domestic Assistance (CFDA) Number: 10.458

Summary: The Federal Crop Insurance Corporation (FCIC), operating through the Risk Management Agency (RMA), announces its intent to award approximately \$5 million to fund cooperative agreements under the Crop Insurance Education in Targeted States Program.

Dates: All applications, which must be submitted electronically through <http://rvs.umn.edu/Home.aspx>, must be received by August 14, 2015 at 11:59 p.m. EDT, 45 calendar days from date of publication in Grants.gov. **Hard copy applications will NOT be accepted.** A tutorial on how to apply is available at <http://rvs.umn.edu/rmaresources>. Key dates in the following table are provided to illustrate the timing of actions related to this RFA announcement.

Key Dates	Actions
July 1, 2015	RFAs Announced in Grants.gov. RFA Open for 45 days.
July 21, 2015	RFA/RVS Training Conducted for Potential Applicants 11:00 am EDT.
July 23, 2015	RFA/RVS Training Conducted for Potential Applicants 3:00 pm EDT.
August 14, 2015	RFA Closes 11:59 pm EDT.
September 8-15, 2015	Award Letters are Mailed; Unsuccessful Applicants are Notified by Email.
September 30, 2015	Project Start Date.

Purpose: The purpose of the Targeted States program is to deliver crop insurance education and information to U.S. agricultural producers in States where there is traditionally, and continues to be a low level of Federal crop insurance participation and availability, and producers are underserved by the Federal crop insurance program. These states, defined as Targeted States for the purposes of this RFA, are Connecticut, Delaware, Hawaii, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming. Any cooperative agreements that may be funded will not exceed the maximum funding amount established for each of the Targeted States. Awardees must agree to the substantial involvement of RMA in the project.

Applications submitted under this RFA must demonstrate how the proposed crop insurance education activities will help producers in Targeted States understand:

- the kinds of risks addressed by crop insurance;
- the features of existing and emerging crop insurance products such as Whole Farm Revenue Protection, and Supplemental Coverage Option (SCO) where available;
- the use of crop insurance in the management of risk;
- how the use of crop insurance can affect other risk management decisions, such as the use of marketing and financial (including farm financial benchmarking) tools; and
- how to make informed decisions on crop insurance prior to the sales closing date deadline.

Funding availability for this program may be announced at approximately the same time as funding availability for similar but separate program, the Risk Management Education Partnerships Program (CFDA No. 10.460). Prospective applicants must carefully examine and compare the notices of each announcement.

The collections of information in this announcement have been approved by the Office of Management and Budget (OMB) under control number 0563-0067.

THIS ANNOUNCEMENT CONSISTS OF EIGHT SECTIONS:

Section I—Funding Opportunity Description

*A. Legislative Authority*

*B. Background*

*C. Project Goal*

Section II—Award Information

*A. Type of Application*

*B. Funding Availability*

*C. Location*

*D. Maximum Award*

*E. Project Period*

*F. Audience Emphasis*

*G. Description of Agreement Award - Awardee Tasks*

*H. RMA Substantial Involvement*

*I. Other Tasks*

Section III—Eligibility Information

*A. Eligible Applicants*

*B. Cost Sharing or Matching Funding*

*C. Other - Non-Financial Benefits*

Section IV—Application and Submission Information

*A. Electronic Application Package*

*B. Content and Form of Application Submission*

*C. Funding Restrictions*

*D. Limitation on Use of Project Funds for Salaries and Benefits*

*E. Indirect Cost Rates*

*F. Other Submission Requirements*

*G. Acknowledgement of Applications*

Section V—Application Review Information

*A. Criteria*

*B. Review and Selection Process*

Section VI—Award Administration Information

*A. Award Notices*

*B. Administrative and National Policy Requirements*

*1. Requirement to Use USDA Logo*

*2. Requirement to Provide Project Information to an RMA-selected Representative*

*3. Access to Panel Review Information*

*4. Confidential Aspects of Applications and Awards*

*5. Audit Requirements*

*6. Prohibitions and Requirements Regarding Lobbying*

*7. Applicable OMB Circulars*

*8. Requirement to Assure Compliance with Federal Civil Rights Laws*

*9. Requirement to Participate in a Post Award Teleconference*

*10. Requirement to Participate in a Post Award Civil Rights Training*

*11. Requirement to Submit Educational Materials to the Ag Risk and Farm  
Management Library  
Library*

*C. Reporting Requirements*

Section VII—Agency Contact

Section VIII—Additional Information

*A. The Restriction of the Expenditure of Funds to Enter into Financial Transactions*

*B. Required Registration with the System for Awards Management (SAM) for Submission  
of Proposals*

Full Text of Announcement

I. Funding Opportunity Description

*A. Legislative Authority*

The Targeted States Program is authorized under Section 524(a)(2) of the Federal Crop Insurance Act (FCIA), 7 U.S.C. § 1524(a)(2).

*B. Background*

RMA promotes and regulates sound risk management solutions to improve the economic stability of American agriculture. On behalf of FCIC, RMA does this by offering Federal crop insurance products through a network of private-sector partners, overseeing the creation of new risk management products, seeking enhancements in existing products, ensuring the integrity of crop insurance programs, offering programs aimed at equal access and participation of underserved communities, and providing risk management education and information.

One of RMA's strategic goals is to ensure that its customers are well-informed of risk management solutions available. This educational goal is authorized by Section 524(a)(2) of the FCIA (7

U.S.C. § 1524(a)(2)). This section authorizes funding for the establishment of crop insurance education and information programs in States where there is traditionally, and continues to be, a low level of Federal crop insurance participation and availability, and producers are underserved by the Federal crop insurance program. In accordance with the FCIA, the States with this designation for Fiscal Year (FY) 2015 are Connecticut, Delaware, Hawaii, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming (defined as “Targeted States” for the purposes of this RFA).

### *C. Project Goal*

The goal of the Targeted States Program is to ensure that producers in the Targeted States are fully informed of existing and emerging crop insurance products in order to take full advantage of such products including but not limited to:

Applications submitted under this RFA must demonstrate how the proposed crop insurance education activities will help producers in Targeted States understand:

- the kinds of risks addressed by crop insurance;
- the features of existing and emerging crop insurance products such as Whole Farm Revenue Protection;
- the use of crop insurance in the management of risk;
- how the use of crop insurance can affect other risk management decisions, such as the use of marketing and financial (including farm financial benchmarking) tools; and
- how to make informed decisions on crop insurance prior to the sales closing date deadline.

In carrying out the requirements under section 11027 of Public Law 113-79, the Secretary of Agriculture has placed special emphasis on risk management strategies (including farm financial

benchmarking), education and crop insurance education specifically targeted to the following producers:

(A) beginning farmers or ranchers;

(B) legal immigrant farmers or ranchers that are attempting to become established producers in the United States;

(C) socially disadvantaged farmers or ranchers;

(D) farmers or ranchers that —

(i) are preparing to retire; and

(ii) are using transition strategies to help new farmers or ranchers get started; and

(E) new or established farmers or ranchers that are converting production and marketing systems to pursue new markets.

## II. Award Information

### A. *Type of Application*

Only electronic applications will be accepted and they must be submitted through

<http://rvs.umn.edu/Home.aspx>. **Hard copy applications will NOT be accepted.** Applications

submitted for the Risk Management Education in Targeted States Program are new applications:

there are no renewals. All applications will be reviewed competitively using the selection process

and evaluation criteria described in Section V – Application Review Information. Each award will

be designated as a Cooperative Agreement, which will require substantial involvement by RMA.

### B. *Funding Availability*

There is no commitment by USDA to fund any particular application or make a specific number of

awards. It is difficult to accurately estimate the number and amount of awards that RMA will

provide this year. However, in the past few years, RMA has awarded 15-17 cooperative

agreements each year, ranging from \$47,848 to \$700,000, and an average funding of \$297,056.

RMA intends to award approximately \$5 million (in fiscal year 2015) **to fund one or more cooperative agreement(s) not to exceed the maximum funding amount established for each of the Targeted States.** An applicant must apply for funding for that Targeted State where the applicant intends to deliver the educational activities, and must limit its request for funding in a particular Targeted State based upon the funding levels available below.

Connecticut .....	\$250,000
Delaware .....	\$287,000
Hawaii.....	\$246,000
Maine .....	\$259,000
Maryland.....	\$371,000
Massachusetts .....	\$239,000
Nevada .....	\$248,000
New Hampshire .....	\$216,000
New Jersey .....	\$282,000
New York.....	\$586,000
Pennsylvania .....	\$700,000
Rhode Island .....	\$206,000
Utah.....	\$316,000
Vermont .....	\$259,000
West Virginia .....	\$242,000
<u>Wyoming.....</u>	<u>\$293,000</u>
Total .....	\$5,000,000



Funding amounts were determined by first allocating an equal amount of \$200,000 to each Targeted State. Remaining funds were allocated on a pro rata basis according to each Targeted State's share of agricultural cash receipts reported in the National Agricultural Statistics Service (NASS) 2007 Agricultural Census, relative to the total for all Targeted States. Both the equal allocation and the pro rata allocation were totaled together and rounded to the nearest \$1,000 to arrive at the funding limit for each Targeted State.

In the event that additional funds become available under this program or in the event that no application for a given Targeted State is recommended for funding by the evaluation panel, these additional funds, or unused funds for a particular Targeted State, may be allocated pro-rata to other awardees. These additional or unused funds may be offered to selected awardees for use in broadening the size or scope of awarded projects within the Targeted States in which funds were awarded, if such selected awardees agree to any changes to the project necessary determined by RMA to make use of the additional funds. The decision of whether any additional or unused funds are offered to other award recipients, and the pro-rata manner in which they may be distributed to recipients that are willing to make required adjustments to their awarded projects to accept such additional funds, is within the discretion of the FCIC Manager. RMA is not required to distribute any additional or unused funds to the awardees.

In the event that the Manager of FCIC determines that available RMA resources cannot support the administrative and substantial involvement requirements of all agreements recommended for funding, the Manager may elect to fund fewer agreements than the available funding might otherwise allow. All awards will be made and agreements finalized no later than September 11, 2015 with a project start date of September 30, 2015.

### *C. Location*

The RMA Regional Offices that service the Targeted States are listed below. Staff from these respective RMA Regional Offices and the Risk Management Education Division (RMED) DC Office will provide the RMA substantial involvement for Targeted States projects conducted within the respective Regions.

Billings, Montana Regional Office: (WY)

Davis, California Regional Office: (HI, NV and UT)

Raleigh, North Carolina Regional Office: (CT, DE, ME, MD, MA, NH, NJ, NY, PA, RI, VT and WV)

Each application must clearly designate the Targeted State where crop insurance educational activities for the project will be delivered in block 14 of the SF-424, “Application for Federal Assistance.” Applications without this designation in block 14 will be rejected. Applicants may apply to deliver education to producers in more than one Targeted State, but a separate application must be submitted for each Targeted State because applications will be compared to applications submitted for the same state. Any single application proposing to conduct educational activities in more than one Targeted State will be rejected.

*D. Maximum Award*

Any application that requests funding under this Announcement of more than the amount listed above for a project in a given Targeted State will be rejected.

*E. Project Period*

Projects will be funded for a period of up to one year from the project starting date.

*F. Audience Emphasis*

Audience emphasis is on U.S. producers and ranchers, while reaching out to, for example, small, limited resource and socially disadvantaged producers and ranchers to ensure they are given the opportunity to participate in educational activities. Other Producer types to which the Applicant

may propose to direct its training may include, but are not necessarily limited to, traditional farmers and ranchers; new and beginning farmers; women; veterans; minority producers, crop insurance educational activities targeting farmers and ranchers producing and marketing their products as part of a local or regional food system; and producers living in areas designated as Strike Force or Promise Zone communities as defined by USDA, provided that the producers in these categories that are emphasized also meet the minimum statutory criteria.

*G. Description of Agreement Award - Awardee Tasks*

In conducting activities to achieve the purpose and goal of this program in a designated Targeted State, the awardee will be responsible for performing the following tasks:

1. Develop and conduct a promotional program in English or a non-English language to producers. If non-English language is used, a translation in English must be provided. This program will include activities using media, newsletters, publications, or other appropriate informational dissemination techniques that are designed to: (a) raise awareness for crop insurance; (b) inform producers of the availability of crop insurance; (c) inform producers of the crop insurance sales closing dates prior to the deadline; and (d) inform producers (and may inform agribusiness professionals), in the designated Targeted State of training and informational opportunities.
2. Deliver crop insurance training and informational opportunities in English or a non-English language to agricultural producers (and may deliver to agribusiness professionals) in the designated Targeted State in a timely manner, prior to crop insurance sales closing dates, in order for producers to make informed decisions regarding risk management tools prior to the crop insurance sales closing dates deadline. This delivery will include organizing and delivering educational activities using instructional materials that have been assembled to meet the local needs of agricultural producers. Activities must be directed primarily to

agricultural producers, but may include those agribusiness professionals that frequently advise producers on crop insurance tools and decisions and will use the information gained from these trainings to advise producers.

3. Document all educational activities conducted under the cooperative agreement and the results of such activities, including criteria and indicators used to evaluate the success of the program. The awardee will also be required, if requested by RMA, to provide information to RMA-selected contractor(s) to evaluate all educational activities and advise RMA regarding the effectiveness of activities.

#### *H. RMA Substantial Involvement*

RMA will be substantially involved during the performance of the funded project through RMA's three (3) Regional Offices and RMED DC Office identified earlier. Potential types of substantial involvement by these three (3) Regional Offices and RMED DC Office will include, but are not limited to, the following activities.

1. Collaborate with the awardee in assembling, reviewing, and approving risk management materials for producers in the designated Targeted States.
2. Collaborate with the awardee in reviewing and approving a promotional program for raising awareness for risk management and for informing producers of training and informational opportunities in the Targeted States.
3. Collaborate with the awardee on the delivery of education to producers and agribusiness professionals for the Targeted States. This collaboration will include: (a) reviewing and approving in advance all producer and agribusiness professional educational activities; (b) advising the awardee on technical issues related to crop insurance education and

information; and (c) assisting the awardee in informing producers and agribusiness professionals about educational activity plans and scheduled meetings.

4. Conduct an evaluation of the performance of the awardee in meeting the tasks of the project.

Applications that do not contain substantial involvement by RMA will be rejected.

### *I. Other Tasks*

In addition to the specific, required tasks listed above, the applicant may propose additional tasks that would contribute directly to the purpose of this program such crop insurance educational activities targeting producers engaged in local or regional food systems. For any proposed additional task, the applicant must identify the objective of the task, specific time lines for performing the tasks, and the specific responsibilities of partners. The applicant must also identify specific ways in which RMA would have substantial involvement in the proposed project task.

### *III. Eligibility Information*

#### *A. Eligible Applicants*

Eligible applicants include not-for-profit organizations, State Departments of Agriculture, State Cooperative Extension Services; Federal, State, or tribal agencies; groups representing producers, community based organizations or a coalition of community-based organization that has demonstrated experience in providing agricultural education or other agricultural-related services to producers; nongovernmental organizations; junior and four-year colleges or universities or foundations maintained by a college or university; and other entities with the capacity to lead a program of risk management education for producers in one or more Targeted States. RMA may request documents from applicants to verify eligibility requirements, proof of existence, or authority.

1. Individuals are not eligible applicants.
2. Although an applicant may be eligible to compete for an award based on its status as the type of entity described immediately above, other factors may exclude an applicant from receiving Federal assistance under this program, which is governed by Federal law and regulations (e.g. debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant or cooperative partnership; a determination of a violation of applicable ethical standards). Reviewed applications in which the applicant or any of the partners are ineligible or excluded persons will be rejected in their entirety. Rejected applications will be retained during the RFA process, but will not be made an award.
3. Private organizations that are involved in the sale of Federal crop insurance, or that have financial ties to such organizations, are eligible to apply for funding under this Announcement. However, such entities and their partners, affiliates, and collaborators for this Announcement will not receive funding to conduct activities that are already required under a Standard Reinsurance Agreement or any other agreement in effect between FCIC/RMA and the entity, or between FCIC/RMA and any of the partners; affiliates, or collaborators for awards under this Announcement. In addition, such entities and their partners, affiliates, and collaborators for this Announcement will not be allowed to receive funding to conduct activities that could be perceived by producers as promoting the services or products of one company over the services or products of another company that provides the same or similar services or products. If applying for funding, such organizations must be aware of potential conflicts of interest and must describe in their application the specific actions they will take to avoid actual and perceived conflicts of interest.
4. Applicants must note funding restrictions found in Section IV, C.

### *B. Cost Sharing or Matching Funding*

This program has neither a cost sharing nor a matching requirement, therefore does not result in any points assigned to an application.

### *C. Non-financial Benefits*

To be eligible, applicants must also be able to demonstrate that they will receive a non-financial benefit as a result of a cooperative partnership agreement. Non-financial benefits must accrue to the applicant and must include more than the ability to provide employment income to the applicant or for the applicant's employees or the community. The applicant must demonstrate that performance under the cooperative partnership agreement will further the specific mission of the applicant (such as providing research or activities necessary for graduate or other students to complete their educational program). Reviewed applications that do not demonstrate a non-financial benefit will be rejected. Rejected applications will be retained during the RFA process, but will not be made an award.

## IV. Application and Submission Information

### *A. Electronic Application Package*

For accessibility purposes, applicants may obtain an electronic copy at

<http://www.rma.usda.gov/aboutrma/agreements/> or request the application package by fax at 202-

690-3605. Only electronic applications will be accepted and they must be submitted to

<http://rvs.umn.edu/Home.aspx>

in response to this Announcement. Applicants must register for an account on the Results Verification System (RVS) website, where users will be required to create a user name, password, and enter other personal contact information. For website and technical issues, call 1-612-624-7585 from 8:00 A.M. to 4:30 P.M. Central Time, Monday through Friday except for Federal

holidays. Prior to preparing an application, it is recommended that the Project Director (PD) first contact an Authorized Representative (AR) or the Authorized Organizational Representative (AOR) to determine if the organization is prepared to submit electronic applications through <http://rvs.umn.edu/Home.aspx>. Prior to submitting applications, each applicant must have a Unique Entity Identifier (UEI) with its application and Bradstreet number and must be registered in System for Awards Management ([www.SAM.gov](http://www.SAM.gov)). No applicant can receive an award until the applicant has complied with all UEI and SAM requirements. If an applicant has not fully complied with all UEI and SAM requirements by the time an award may be made, RMA may determine the applicant is not qualified to receive a Federal award and use that determination as a basis for making an award to another applicant. Each application must continue to maintain an active SAM registration with current information at all times in which the applicant has its application under consideration, and if an award is made, during the entire time of the Federal award.

#### *B. Content and Form of Application Submission*

The applicant is strongly encouraged to use the tutorial located at <http://rvs.umn.edu/Home.aspx>.

After completing the tutorial, the final application must be submitted to the same site:

<http://rvs.umn.edu/Home.aspx>. All applications must be received in RVS by the deadline, August 14, 2015 at 11:59 p.m. EDT, 45 calendar days from date of publication in Grants.gov. If a due date falls on a Saturday, Sunday, or Federal holiday, the deadline will be the next business day.

Once submitted, applications will be date and time stamped by the RVS as evidence of submission.

**Hard copy of the application will not be accepted.** The system will prompt the applicant for the following items:

- 1. Project Information.** Information must include the Project Name, RMA Regional Office, State/Area, Project Director's Name and Contact Information; a second, or alternative Project



Director's Name and Contact Information, and a Financial Representative or Grants Office Official and Contact Information. A second Point of Contact Name and Contact Information may be added at the discretion of the applicant.

**2. Executive Summary of the Project (limit 200 words).** This is a summary of the project and includes the project's goal and objectives, location of work, audience to be reached, and expected impacts and results of the work completed.

**3. Proposed Results.** Applicants must clearly identify the specific actions producers will take as a result of the education activities.

**4. Audience Emphasis.** Applicant may select one or more audiences that the project will intentionally reach.

**5. Statement of Work (SOW).** The SOW is in a table format and must clearly identify each task associated with the work, the objective of each task specific time lines for performing the each task, and the responsible party for completing the activities listed under each task including the specific responsibilities of applicant, the applicant's partner(s), and RMA's substantial involvement. Tasks that directly involve producer participants, such as workshops, must estimate the number of participants to be reached. The SOW must be very clear on who does what, where, and when, as well as, the objective for each task.

**6. Proposal Narrative (limit of 600 words).** The proposal narrative is a description of work to be done, why the work is important, who will benefit from the work and any additional explanation of the expected results entered under Proposed Results that you want to communicate. The narrative should (a) discuss specific crop insurance education and risk management training to be developed for use with producers; (b) identify the location and number of meetings that will be held; (c) reasonably estimate the total number of producers

for each session or meeting identified in the Statement of Work that will be reached through (i) direct face-to face educational activities with an explanation of how the number was determined and (ii) through other means such as direct mailings, website, etc. with an explanation of how the number was determined; (d) provide an estimate of the number of training hours that will be conducted with an explanation of how the number was determined; (e) provide an estimated cost per producer with an explanation of how the cost was determined, and (f) discuss the methods and resulting measures used to evaluate the results of the education and training delivered to producers

**7. Team and Partners.** There are three subsections under Team and Partners. (1) Key Personnel: This section must list designated key personnel employed directly by the applicant, any partner(s) of the applicant, or consultant(s) of the applicant or applicant's partner who will have significant involvement on this project. Each person identified must be described by title, role, and responsibilities including what skills, knowledge, and experience that they will provide that is not already present in the applicant's organization, as well as why that skill, knowledge, or experience is important and what will be the cost and benefit of their involvement. Specific tasks in the SOW designated to them must agree with this section of the application or the application will be rejected. (2) Organizational Capacity: The organizational capacity of the applicant must be described to assure RMA that designated key personnel, contracted employees, partners or consultants working on the project have the skills, knowledge, and experience to do the work described in the SOW and have the necessary resources to add other team members as necessary to complete the work. (3) Partnering Plan: To submit a successful Partnering Plan, all partners of the applicant working on the project must submit a Letter of Commitment which clearly states that the named

partner will work with the applicant on the project, if awarded, as well as the role and responsibilities assigned.

**8. Budget Narrative** must show the total cost for the project. The budget narrative must also include the cost per producer. The budget must describe how category costs listed on the SF 424-A are derived. The budget narrative must provide enough detail for reviewers to easily understand how costs were determined and how they relate to the tasks listed in the SOW. There must be a relationship between work planned and performed to the costs incurred.

**9. RMA Substantial Involvement.** This section must describe RMA assistance as defined under RMA Substantial Involvement in Section II, G.

**10. Non-Financial Benefits.** This section must describe the Non-Financial Benefits from the proposed project as defined in Section III, C.

**11. Required Documents** (to be downloaded from the system, completed and uploaded prior to submission of the application).

- A completed OMB Standard Form 424, “Application for Federal Assistance.”
- A completed OMB Standard Form 424-A, “Budget Information – for Non-Construction Programs.” Federal funding requested (the total of direct and indirect costs) must not to exceed the maximum funding amount established for each of the Targeted States in Section II, B.
- A completed OMB Standard Form 424-B, “Assurances, for Non-Constructive Programs.”
- A completed and signed OMB Standard Form LLL, Disclosure of Lobbying Activities.
- A completed and signed AD-1049, Certification Regarding Drug-Free Workplace

- **Current and Pending Report.** This form contains a document called the Current and Pending Report. On the Current and Pending Report you must state for this fiscal year if this application is a duplicate application or overlaps substantially with another application already submitted to or funded by another USDA Agency, including RMA, or other private organization. The percentage of each person's time associated with the work to be done under this project must be identified in the application. The total percentage of time for both "Current" and "Pending" projects must not exceed 100% of each person's time. Applicants must list all current public or private employment arrangements or financial support associated with the project or any of the personnel that are part of the project, regardless of whether such arrangements or funding constitute part of the project under this Announcement (supporting agency, amount of award, effective date, expiration date, expiration date of award, etc.). If the applicant has no projects to list, "N/A" should be shown on the form. An application submitted under this RFA that duplicates or overlaps substantially with any application already reviewed and funded (or to be funded) by any other organization or agency, including but not limited to other RMA, USDA, and Federal government programs, will not be funded under this program. RMA reserves the right to reject your application based on the review of this information.
- **Letters of Commitment.** A Letter of Commitment is required from each partner or consultant partner of the applicant who will do the specific task as identified in the SOW. The Letters must (1) be dated within 45 days of the submission and (2) list the specific tasks to be done.

**Applications that do not include the items listed above will be considered incomplete, will not receive further consideration, and will be rejected.**

### *C. Funding Restrictions*

RMA cooperative partnership agreement funds may not be used to:

- a. plan, repair, rehabilitate, acquire, or construct a building or facility including a processing facility;
- b. purchase, rent, or install fixed equipment;
- c. purchase portable equipment or supplies (such as laptops, I-pads, cell phones, projectors or similar items. Rental or lease fees are payable from RMA funds for such items in lieu of purchase.)
- d. repair or maintain privately owned vehicles;
- e. pay for the preparation of the cooperative agreement application;
- f. fund political activities;
- g. purchase alcohol, food, beverage, give-away promotional items, or entertainment;
- h. lend money to support farming or agricultural business operation or expansion;
- i. pay costs incurred prior to receiving a cooperative agreement;
- j. provide producer scholarships to meetings, seminars, or similar events;
- k. pay entrance fees, conference registration fees, or other expenses to conferences or similar activities for any person **not** on the agenda or **not** working at an event booth promoting RMA programs or the RMA funded project. These expenses will not be paid for the awardee's Board of Directors, family members or similar entities. These fees will not be paid for the awardee to attend personal development training in order to train producers;
- l. pay costs associated 501(c) applications; and
- m. fund any activities prohibited in 2 CFR Parts 200 and 400, as applicable.

### *D. Limitation on Use of Project Funds for Salaries and Benefits*

Total costs for salary and benefits allowed for projects under this Announcement will be limited to not more than 70 percent reimbursement of the funds awarded under the cooperative partnership agreement. The reasonableness of the total costs for salary and benefits allowed for projects under this Announcement will be reviewed and considered by RMA as part of the application review process. Applications for which RMA does not consider the salary and benefits reasonable for the proposed application will be rejected, or will only be offered a cooperative agreement upon the condition of changing the salary and benefits structure to one deemed appropriate by RMA for that application. The goal of the Targeted States Program is to maximize the use of the limited funding available for crop insurance education to producers in Targeted States.

#### *E. Indirect Cost Rates*

- a. Indirect costs allowed for projects submitted under this announcement will be limited to ten (10) percent of the total direct cost of the cooperative agreement. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institution's official negotiated indirect cost rate or 10 percent of the total direct costs.
- b. RMA reserves the right to negotiate final budgets with successful applicants.

#### *F. Other Submission Requirements*

Applicants are entirely responsible for ensuring that RMA receives a complete application package by the closing date and time at the following website: <http://rvs.umn.edu/Home.aspx>. **RMA strongly encourages applicants to submit applications well before the deadline.** Application packages submitted after the deadline will be rejected with no exceptions granted, therefore will not be considered until the next RFA period.

#### V. Application Review Information

### *A. Criteria*

Applications submitted under the Targeted States Program will be evaluated within each RMA Region according to the following criteria:

#### **Project Results – Maximum 40 points available**

Each application must demonstrate that the project benefits and results to producers warrant the funding requested. Applications will be scored according to the extent they can: (a) identify the specific actions producers will likely be able to take as a result of the educational activities described by the applicant in the Proposed Results; (b) identify the specific measures for evaluating results, including but limited to, a change in producer behavior that results in (i) understanding crop insurance program and other risk management tools presented, (ii) evaluating what risk management options works best for his/her operation, and (iii) developing and implementing a specific course of action (e.g., participation in crop insurance programs or creating a risk management plan or other risk management actions) listed by the applicant in the Proposal Narrative; and (c) compare work described in the Proposal Narrative and the Statement of Work (e.g., work to be done) against the Budget Narrative information (e.g. cost of work to be done) to the Proposed Results (e.g., benefits to the producers. Higher consideration will be given to applications that demonstrate a clear description of the crop insurance education and risk management training to be delivered, alignment with priorities listed in Section I, C, expected actions producers will accomplish, well-designed methods for measuring the project's results, and the best use of funds for the number of producers reached at the cost per producer.

#### **Statement of Work (SOW) – Maximum 10 points available**

Each application must include a clear and specific Statement of Work for the project as part of the Proposal Narrative. For each of the tasks contained in the Description of Agreement Award (see

Section II, Award Information), the application must identify and describe specific tasks, responsible entities including partners, expected completion dates and deliverables that will further the purpose of this program. RMA substantial involvement must be included. Higher consideration will be given to the Statement of Work that demonstrates specific, measurable results and definite deadlines for the completion of tasks.

**Partnering – Maximum 10 points available**

Each application must list all partners working on the project, their titles, and how they will contribute to the deliverables listed in the application. The application must describe how each partner will aid in carrying out the project goal and purpose stated in this announcement and must include Letters of Commitment dated no more than 45 days prior to submission of the relevant application stating that the partner has agreed to do this work. Applications will receive higher scores to the extent that the application demonstrates: (a) that partnership commitments are in place for the express purpose of delivering the program in this announcement; (b) that a broad group of producers will be reached within the State; (c) that partners are contributing to the project and involved in recruiting producers to attend the training; (d) that a substantial effort has been made to partner with organizations that can meet the needs of producers in the designated State; and (e) statements from each partner regarding the number of producers that partner is committed to recruit for the project that would support the estimates specified under the Project Impacts criterion. No points will be given if a partner is listed but there is no corresponding Letter of Commitment from the partner who is listed in the application.

**Key Personnel and Organizational Capacity – Maximum 30 points available**

Each application must demonstrate an ability to implement sound and effective project management practices. Higher scores in this category will be awarded to applications that



demonstrate organizational skills, leadership, and experience in delivering services or programs that assist agricultural producers in the designated State. Each application must demonstrate that the Project Director has the capability to accomplish the project goal and purpose stated in this announcement by (a) having a previous or existing working relationship with the agricultural community in the designated State of the application, including being able to recruit approximately the number of producers to be reached in the application and/or (b) having established the capacity to partner with and gain the support of producer organizations, agribusiness professionals, and agribusiness leaders locally to aid in carrying out a program of education and information, including being able to recruit approximately the number of producers to be reached in this application. Applications must designate an alternate individual to assume responsibility as Project Director in the event the original Project Director is unable to finish the project. Applications that will employ, or have access to, personnel who have experience in directing local educational programs that benefit agricultural producers in the respective State will receive higher rankings in this category.

**Budget Appropriateness and Efficiency – Maximum 10 points available**

Applications must provide a (1) total cost of the project; (2) cost per producer and (3) a detailed budget narrative that clearly explains and justifies costs associated with the project’s tasks listed in the Statement of Work. Applications must provide detailed costs by tasks listed in the Statement of Work. Applications will receive higher scores in this category to the extent that they can demonstrate a fair and reasonable use of funds appropriate for the project and a budget that contains the estimated cost of reaching each individual producer.

*B. Review and Selection Process*

RMA does not permit applicants to nominate reviewers or propose exclusion of reviewers in the program review and selection process. Applications will be evaluated using a two-part process. First, each application will be screened by USDA and RMA personnel to ensure that it meets the requirements in this announcement. Applications that do not meet the minimum requirements of this announcement or are incomplete will not advance to the second portion of the review process. Applications that meet announcement requirements will be grouped together for comparison by the Targeted State for which the application proposes to conduct the project and will be presented to a review panel for consideration in such groups. Thus, applications will only be compared against other applications for the same Targeted State.

Second, the review panel will meet in person or via live meeting teleconference to consider and discuss the merits of each application. The panel will consist of at least three independent reviewers. Reviewers will be drawn from USDA, other Federal agencies, and/or public and private organizations, as needed. After considering the merits of all applications within a Targeted State, panel members will score each application according to the criteria and point values described above. The panel will then rank each application against others within the Targeted State according to the scores received.

The review panel will report the results of the evaluation to the Manager of FCIC. The panel's report will include the applicants recommended to receive awards for each Targeted State. **An application receiving a total score less than 60 will not receive funding.**

An organization, or group of organizations in partnership, may apply for funding under other FCIC or RMA programs, in addition to the program described in this announcement. However, if the Manager of FCIC determines that an application recommended for funding under this Announcement is substantially similar to or duplicative of a project that has been funded or has

been recommended to be funded under this or another RMA or FCIC program, then the Manager may elect to not fund that application under this program in whole or in part, depending upon the extent of the similarity or duplicity of applications. The Manager of FCIC will make the final determination on those applications that will be awarded funding.

## VI. Award Administration Information

### *A. Award Notices*

The award document will provide pertinent instructions and information including, at a minimum, the following:

- (1) Legal name and address, as it corresponds with its Unique Entity Identifier, of performing organization or institution to which the FCIC Manager has issued an award under the terms of this Request for Applications;
- (2) Unique Entity Identifier;
- (3) Title and description of project;
- (4) Name(s) and employing institution(s) of Project Directors chosen to direct and control approved activities;
- (5) Identifying Unique Federal Award Identification Number (FAIN) assigned by RMA;
- (6) Project period, specifying the amount of time RMA intends to support the project without requiring recompeting for funds;
- (7) Amount of Federal funds obligated, total amount of Federal funds obligated, and total amount of federal award by RMA, as approved by the Manager of FCIC for the project period;
- (8) Legal authority(ies) under which the award is issued;
- (9) Appropriate Catalog of Federal Domestic Assistance (CFDA) number and program name (i.e. Targeted States);

- (10) Applicable RMA award terms and conditions;
- (11) Approved budget plan for categorizing allowable project funds to accomplish the stated purpose of the award; and
- (12) Indirect cost rate;
- (13) Federal awarding agency, awarding official contact information, and date the authorized agency official signs the award document;
- (14) Total approved cost-sharing or matching, when applicable;
- (15) Performance goals, including though not necessarily limited to timing and scope of intended outcomes aligned with Agency goals and objectives;
- (16) RFA awards are not research & development (R&D) awards;
- (17) SAM and UEI requirements as found in Attachment A;
- (18) Subaward and executive compensation requirements as found in Attachment B;
- (19) Trafficking in persons requirements from 2 C.F.R. 175.15(b);
- (20) Drug-free workplace requirement from 2 C.F.R part 421, which adopts the Government-wide implementation at 2 C.F.R. part 182 of the Drug-Free Workplace Act 1988, Sections 5152-5158;
- (21) Applicable administrative requirements, national policy requirements, and any other general award terms and conditions, including though not limited to RMA-specific terms and conditions at <http://www.rma.usda.gov/aboutrma/agreements/>; and
- (22) Other information or provisions required by RMA to carry out its respective awarding activities or to accomplish the purpose of a particular award.

Following approval by the Manager of FCIC of the applications to be selected for funding, awardees whose applications have been selected for funding will be notified. Within the limit of funds available for such a purpose, the Manager of FCIC will enter into cooperative agreements

with the awardees. After a cooperative agreement has been signed by all Parties (including RMA), RMA will extend to awardees, in writing, the authority to draw down funds for the purpose of conducting the activities listed in the agreement. Successful applicants must respond to RMA in writing with either an acceptance or rejection of award. All funds provided to the awardee by RMA must be expended solely for the purpose for which the funds are obligated in accordance with the approved agreement and any applicable Federal law. No commitment of Federal assistance beyond the project period is made or implied for any award resulting from this notice. Notification to applicants for whom funding is denied will be sent to applicants after final funding decisions have been made and awardees have been announced publicly. Reasons for denial of funding may include, but are not limited to, incomplete applications, applications with evaluation scores below 60, or applications with evaluation scores that are lower than those of other applications in a Targeted State. Debriefings will be offered to unsuccessful applicants upon request to the Deputy Director, Risk Management Education Division.

#### *B. Administrative and National Policy Requirements*

##### *1. Requirement to Use USDA Logo*

Awardees of cooperative agreements will be required to use a USDA Logo provided by RMA for all instructional and promotional materials if appropriate.

##### *2. Requirement to Provide Project Information to RMA-selected Representative(s)*

Awardees of cooperative agreements may be required to assist RMA in evaluating the effectiveness of its educational programs by notifying the RMA of upcoming training, meeting, and by providing documentation of educational activities, materials, and related information to any representative(s) selected by RMA for program evaluation purposes.

##### *3. Access to Panel Review Information*

Upon written request from the applicant, scores from the evaluation panel, not including the identity of reviewers, will be sent to the applicant after the review and awards process has been completed.

#### *4. Confidential Aspects of Applications and Awards*

The names of applicants, the names of individuals identified in the applications, the content of applications, and the panel evaluations of applications will remain confidential, except to those involved in the review process, to the extent permitted by law. In addition, the identities of review panel members will remain confidential throughout the entire review process and will not be released to applicants. At the end of the fiscal year, names of panel members may be made available. However, panelists will not be identified with the review of any particular application. When an application results in a cooperative agreement, that agreement becomes a part of the official record of RMA transactions, available to the public upon specific request. Information that the Secretary of Agriculture determines to be of a confidential, privileged, or proprietary nature will be held in confidence to the extent permitted by law. Therefore, any information that the applicant wishes to be considered confidential, privileged, or proprietary must be clearly marked within an application, including the legal basis for such designation. The original copy and extra copies of all applications, regardless of whether the application results in an award, will be retained by RMA for a period of at least three years, then may be destroyed. Any copies of an application will be released only to the extent required by law. An application may be withdrawn at any time prior to the time when award decisions are made.

#### *5. Audit Requirements*

Awardees of cooperative agreements may be subject to audit at any time in the present or future.

#### *6. Prohibitions and Requirements with Regards to Lobbying*

All cooperative agreements will be subject to the requirements of 2 C.F.R. part 200 and 418, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards” and “New Restrictions on Lobbying,” respectively. A signed copy of the certification and disclosure forms must be submitted with the application and are available at the address and telephone number listed in Section VII, Agency Contact.

Departmental regulations published at 2 C.F.R part 418 imposes prohibitions and requirements for disclosure and certification related to lobbying on awardees of Federal contracts, grants, cooperative partnership agreements and loans. It provides exemptions for Indian Tribes and tribal organizations. Current and prospective awardees, and any subcontractors, are prohibited from using Federal funds, other than profits from a Federal contract, for lobbying Congress or any Federal agency in connection with the award of a contract, grant, cooperative partnership agreement or loan. In addition, for each award action in excess of \$100,000 (\$150,000 for loans) the law requires awardees and any subcontractors to complete a certification in accordance with Appendix A to Part 418 and a disclosure of lobbying activities in accordance with Appendix B to Part 418.: The law establishes civil penalties for non-compliance.

#### *7. Applicable OMB Circulars*

All cooperative agreements funded as a result of this notice will be subject to the requirements contained in all applicable OMB circulars [http://www.whitehouse.gov/omb/grants\\_circulars](http://www.whitehouse.gov/omb/grants_circulars).

#### *8. Requirement to Assure Compliance with Federal Civil Rights Laws*

Awardees and all partners/collaborators of all cooperative agreements funded as a result of this notice are required to know and abide by Federal civil rights laws, which include, but are not limited to, Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et. seq.), and 7 C.F.R.

part 15. RMA requires that awardees submit an Assurance Agreement (Civil Rights), assuring RMA of this compliance prior to the beginning of the project period. Although awardees are required to report on their civil rights compliance using demographic data among other methodologies, awardees are not required to collect demographic data directly from producers until such time as RMA has an approved form and process in place for that purpose.

*9. Requirement to Participate in a Post Award Teleconference*

RMA requires that project leaders participate in a post award teleconference, if conducted, to become fully aware of agreement requirements and for delineating the roles of RMA personnel and the procedures that will be followed in administering the agreement and will afford an opportunity for the orderly transition of agreement duties and obligations if different personnel are to assume post-award responsibility.

*10. Requirement to Participate in a Post Award Civil Rights Training Teleconference*

RMA requires that project leaders participate in a post award Civil Rights and EEO training teleconference to become fully aware of Civil Rights and EEO law and requirements.

*11. Requirement to Submit Educational Materials to the Ag Risk and Farm Management Library*

RMA requires that awardees upload digital copies of all risk management educational materials developed as part of the project to the Ag Risk and Farm Management Library [www.agrisk.umn.edu](http://www.agrisk.umn.edu) for posting, if electronically reporting. RMA must be clearly identified as having provided funding for the materials.

*12. Requirement to Submit Proposed Results to the Ag Risk and Farm Management Library*

RMA requires that awardees submit results of the project to the Ag Risk and Farm Management Library [www.agrisk.umn.edu](http://www.agrisk.umn.edu) for posting. RMA must be clearly identified as having provided funding for the materials.



### *C. Reporting Requirements*

Awardees will be required to submit quarterly progress reports using the Performance Progress Report (OMB SF-PPR) as the cover sheet and quarterly financial reports (OMB SF 425) throughout the project period, as well as a final program and financial report not later than 90 days after the end of the project period. The quarterly progress reports and final program reports MUST be submitted through the RVS. The website address is <http://rvs.umn.edu/Home.aspx>.

### VII. Agency Contact

FOR FURTHER INFORMATION CONTACT: Applicants and other interested parties must contact: USDA-RMA-RME Grants and Agreements Specialists, Lydia Astorga or Sundii Johnson-Phillips by phone: 202-720-0779, e-mail: [RMA.Risk-Ed@rma.usda.gov](mailto:RMA.Risk-Ed@rma.usda.gov), or by mail.

USDA/RMA/RME  
Room 6717-South  
1400 Independence Ave., SW Washington, DC 20250

Applicants may also obtain information regarding this announcement from the RMA website at: <http://www.rma.usda.gov/aboutrma/agreements/>.

### VIII. Additional Information

#### *A. The Restriction of the Expenditure of Funds to Enter into Financial Transactions*

The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (P.L. 112-55) contains the restriction of the expenditure of funds to enter into financial transactions Corporations that have been convicted of felonies within the past 24 months or that have federal tax delinquencies where the agency is aware of the felonies and/or tax delinquencies.

#### Section 738 (Felony Provision)

None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee

to any corporation that was convicted (or had an officer or agency of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal or State law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent, and made a determination that this further action is not necessary to protect the interest of the Government.

Section 739 (Tax Delinquency Provision)

None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that [has] any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

*B. Required Registration with the System for Award Management (SAM) for Submission of Proposals*

Under the Federal Funding Accountability and Transparency Act of 2006, the applicant must comply with the additional requirements set forth in Attachment A regarding the Dun and Bradstreet Universal Numbering System (DUNS) Requirements and the SAM Requirements found at 2 C.F.R. part 25. For the purposes of this RFA, the term "you" in Attachment A will mean "applicant". The applicant will comply with the additional requirements set forth in Attachment B regarding Subawards and Executive Compensation. For the purpose of this RFA, the term "you"

in Attachment B will mean "applicant". The SAM ([www.SAM.gov](http://www.SAM.gov)) is a database that serves as the primary Government repository for contractor information required for the conduct of business with the Government. This database will also be used as a central location for maintaining organizational information for organizations seeking and receiving grants from the Government. Such organizations must register in SAM prior to the submission of applications. A DUNS number is needed for SAM registration. For information about how to register in SAM, access [www.SAM.gov](http://www.SAM.gov). Allow a minimum of 5 business days to complete the SAM registration.

### *C. Related Programs*

Funding availability for this program may be announced at approximately the same time as funding availability for similar but separate programs—and CFDA No. 10.460 (Risk Management Education Partnerships Program). These programs have some similarities, but also key differences. The differences stem from important features of each program's authorizing legislation and different RMA objectives. Prospective applicants should carefully examine and compare the notices for each program.

## ATTACHMENT A

### I. System for Award Management (SAM) Registration and Universal Identifier Requirements

#### A. Requirement for SAM

Unless you are exempted from this requirement under 2 CFR 25.110, you as the recipient must maintain the currency of your information in SAM until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that you review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term.

#### A. Requirement for Unique Entity Identifier (UEI)

If you are authorized to make subawards under this award, you:

1. Must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive a subaward from you unless the entity has provided its unique entity identifier to you.
2. May not make a subaward to an entity unless the entity has provided its unique entity identifier to you.

#### B. Definitions

For purposes of this award term:

1. SAM means the Federal repository into which an entity must provide information required for the conduct of business as a recipient. Additional information about registration procedures may be found at [www.SAM.gov](http://www.SAM.gov).

2. Unique entity identifier means the identifier required for SAM registration to uniquely identify business entities.
3. Entity, as it is used in this award term, means all of the following, as defined at 2 CFR part 25, subpart C:
  - a. A Governmental organization, which is a State, local government, or Indian Tribe;
  - b. A foreign public entity;
  - c. A domestic or foreign nonprofit organization;
  - d. A domestic or foreign for-profit organization; and
  - e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.
4. Subaward:
  - a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
  - b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see 2 CFR 200.330).
  - c. A subaward may be provided through any legal agreement, including an agreement that you consider a contract.
5. Subrecipient means an entity that:
  - a. Receives a subaward from you under this award; and
  - b. Is accountable to you for the use of the Federal funds provided by the subaward.

## ATTACHMENT B

### I. Reporting Subawards and Executive Compensation.

#### a. Reporting of first-tier subawards.

1. **Applicability.** Unless you are exempt as provided in paragraph d. of this award term, you must report each action that obligates \$25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a subaward to an entity (see definitions in paragraph e. of this award term).
2. **Where and when to report.**
  - i. You must report each obligating action described in paragraph a.1. of this award term to <http://www.fsrs.gov>.
  - ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)
3. **What to report.** You must report the information about each obligating action that the submission instructions posted at <http://www.fsrs.gov> specify.

#### b. Reporting Total Compensation of Recipient Executives.

1. **Applicability and what to report.** You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if -

- i. The total Federal funding authorized to date under this award is \$25,000 or more;
  - ii. In the preceding fiscal year, you received-
    - (A) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
    - (B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
  - iii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)
2. Where and when to report. You must report executive total compensation described in paragraph b.1. of this award term:
  - i. As part of your registration profile at <http://www.SAM.gov>.
  - ii. By the end of the month following the month in which this award is made, and annually thereafter.
- c. Reporting of Total Compensation of Sub recipient Executives.

1. Applicability and what to report. Unless you are exempt as provided in paragraph d. of this award term, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if-
  - i. in the subrecipient's preceding fiscal year, the subrecipient received-
    - (A) 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
    - (B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and
  - ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)
2. Where and when to report. You must report subrecipient executive total compensation described in paragraph c.1. of this award term:
  - i. To the recipient.
  - ii. By the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the



month of October of a given year (i.e., between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

d. Exemptions

If, in the previous tax year, you had gross income, from all sources, under \$300,000, you are exempt from the requirements to report:

- i. Subawards, and
- ii. The total compensation of the five most highly compensated executives of any sub recipient.

e. Definitions. For purposes of this award term:

1. Entity means all of the following, as defined in 2 CFR part 25:
  - i. A Governmental organization, which is a State, local government, or Indian tribe;
  - ii. A foreign public entity;
  - iii. A domestic or foreign nonprofit organization;
  - iv. A domestic or foreign for-profit organization;
  - v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.
2. Executive means officers, managing partners, or any other employees in management positions.
3. Subaward:

- i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
  - ii. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. \_\_ .210 of the attachment to OMB Circular A-133, “Audits of States, Local Governments, and Non-Profit Organizations”).
  - iii. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.
4. Subrecipient means an entity that:
  - i. Receives a sub award from you (the recipient) under this award; and
  - ii. Is accountable to you for the use of the Federal funds provided by the subaward.
5. Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):
  - i. Salary and bonus.
  - ii. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
  - iii. Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans

that do not discriminate in favor of executives, and are available generally to all salaried employees.

- iv. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- v. Above-market earnings on deferred compensation which is not tax-qualified.
- vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.

Signed in Washington, D.C. on \_\_\_\_\_.

\_\_\_\_\_  
Brandon Willis  
Manager  
Federal Crop Insurance Corporation