

United States
Department of
Agriculture



Federal Crop Insurance Corporation

FCIC-25380 (01-2023)

PRUNE LOSS ADJUSTMENT STANDARDS HANDBOOK

2023 and Succeeding Crop Years



UNITED STATES DEPARTMENT OF AGRICULTURE FARM PRODUCTION AND CONSERVATION RISK MANAGEMENT AGENCY KANSAS CITY, MO 64133

TITLE: PRUNE LOSS ADJUSTMENT STANDARDS	NUMBER: FCIC-25380
HANDBOOK	OPI: Product Management
EFFECTIVE DATE: 2023 and Succeeding Crop Years	ISSUE DATE: January 31, 2023
SUBJECT:	APPROVED:
Provides the procedures and instructions for administering the Prune crop insurance program.	/s/ John W. Underwood for
	Deputy Administrator for Product Management

REASON FOR ISSUANCE

This handbook provides procedures and instructions for administering the Prune crop insurance program for the 2023 and succeeding crop years.

SUMMARY OF CHANGES

Listed below are the changes to the 2023 FCIC-25380 Prune Loss Adjustment Standards Handbook with significant content change. All changes and additions are highlighted. Three asterisks (***) used throughout the handbook indicate where major deletions occurred. Minor changes and corrections are not included in this listing.

Reference	Description of Change		
Throughout	Updated to External Handbook Standards.		
Subparagraph 1B	Added to comply with External Handbook Standards.		
Subparagraph 1C	Added to comply with External Handbook Standards.		
Subparagraph 1D	Updated handbook descriptions to comply with External Handbook Standards.		
Subparagraph 2D(2)	Updated for standard language concerning Privacy Act and Non-Discrimination		
	statements.		
Subparagraph 11A	Changed the words "growing season" to "leaf year" in accordance with the Prune		
	CP.		
Paragraph 21	Changed the words "sold by" to "harvested for" in accordance with the Prune CP.		
Paragraph 27	Changed the word "tally" to "total."		
Exhibit 1	(1) Added the following acronyms: AD, FAD, PW, QA, SRA, and USDA.		
	(2) Paragraphic Large ((aftire property) from the Large for CD		
	(2) Removed the term "of insurance" from the term for SP.		
	(3) Removed the following terms, as they were not used in the LASH: DFA,		
	DPMO, and FN.		
Exhibit 2	(1) Removed the definition of "Direct Marketing" in accordance with the Prune		
	CP.		
	(2) Revised the definition of "Standard Prunes" in accordance with the Prune		
	CP.		

SUMMARY OF CHANGES (CONTINUED)

Reference		Description of Change	
Exhibit 3	(1)	.) <u>Item 14:</u> Changed the word "tally" to "total."	
	(2)	Item 15: Changed the word "tally" to "total."	
	(3)	Item 18: Revised the procedure for clarity.	
	(4)	Item 19: Revised the procedure for clarity.	

January 2023 <u>FCIC-25380</u> TP 2

PRUNE LOSS ADJUSTMENT STANDARDS HANDBOOK

CONTROL CHART

	TP	TC	Text	Exhibit	Date	Directive
	Page(s)	Page(s)	Page(s)	Page(s)		Number
Current Index	1-3	1	1-12	13-43	01-2023	FCIC-25380

FILING INSTRUCTIONS:

This handbook replaces FCIC-25380, Prune Loss Adjustment Standards Handbook, dated January 29, 2019. This handbook is effective for the 2023 and succeeding crop years and is not retroactive to any 2022 or prior crop year determinations.

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PART 1: GENERAL INFORMATION AND RESPONSIBILITIES

1 General Information

A. Purpose and Objective

The RMA issued loss adjustment standards for this crop are the official standard requirements for adjusting losses in a uniform and timely manner. The RMA issued standards for this crop and crop year are in effect as of the signature date for this crop handbook located at www.rma.usda.gov/Policy-and-Procedure/Loss-Adjustment-Standards---25000.

A bulletin or FAD can supersede either the original handbook or subsequent amendments.

B. Source of Authority

Refer to the LAM for sources of authority.

C. Title VI of the Civil Rights Act of 1964

The USDA prohibits discrimination against its customers. Title VI of the Civil Rights Act of 1964 provides that "No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance." Therefore, programs and activities that receive Federal financial assistance must operate in a non-discriminatory manner. Also, a recipient of RMA funding may not retaliate against any person because they opposed an unlawful practice or policy, or made charges, testified, or participated in a complaint under Title VI.

It is the AIPs' responsibility to ensure that standards, procedures, methods and instructions, as authorized by FCIC in the sale and service of crop insurance contracts, are implemented in a manner compliant with Title VI. Information regarding Title VI of the Civil Rights Act of 1964 and the program discrimination complaint process is available on the USDA public website at www.ascr.usda.gov. For more information on the RMA Non-Discrimination Statement see the DSSH.

D. Related Handbooks

The following table identifies handbooks that shall be used in conjunction with this handbook.

Handbook	Relation/Purpose	
CIH	This handbook provides the official FCIC approved underwriting standards	
	for policies administered by AIPs for the General Administrative	
	Regulations, Actual Production History Regulation Subpart G; Common Crop	
	Insurance Policy Basic Provisions, and Area Risk Protection Regulations.	
DSSH	This handbook provides the official FCIC approved form standards and	
	procedures for use in the sale and service of any eligible Federal crop	
	insurance policy; required statements and disclosures; and the standards	
	for submission and review of non-reinsured supplemental policies in	
	accordance with the SRA.	

D. Related Handbooks (Continued)

Handbook	Relation/Purpose
GSH	This handbook provides the official FCIC approved standards for policies
	administered by AIPs under the General Administrative Regulations,
	Common Crop Insurance Policy Regulations Basic Provisions, including the
	Catastrophic Risk Protection Endorsement, Actual Production History
	Regulation Subpart G; the Area Risk Protection Insurance Regulations Basic
	Provisions; the Stacked Income Protection Plan of Insurance; the Rainfall
	Index Plans; and the Whole-Farm Revenue Protection Pilot Policy.
LAM	This handbook provides the official FCIC approved general loss adjustment
	standards for all levels of insurance provided under FCIC unless a
	publication specifies that none or only specified parts of this handbook
	apply.

- (1) Terms, abbreviations, and definitions general (not crop specific) to loss adjustment are identified in the GSH.
- (2) Terms, abbreviations, and definitions specific to prune loss adjustment and this handbook are in <u>Exhibit 1</u> and <u>Exhibit 1</u> and Exhibit 2, herein.

E. CAT Coverage

Refer to the CIH, GSH, and LAM for provisions and procedures not applicable to CAT coverage.

2 AIP Responsibilities

A. Utilization of Standards

All AIPs shall utilize these standards for both loss adjustment and loss training for the applicable crop year. These standards, which include crop appraisal methods, claims completion instructions, and form standards, supplement the general (not crop-specific) loss adjustment standards identified in the LAM.

B. Form Distribution

The following is the minimum distribution of forms completed by the adjuster and signed by the insured (or the insured's authorized representative) for the loss adjustment inspection.

- (1) One legible copy to the insured; and
- (2) The original and all remaining copies as instructed by the AIP.

C. Record Retention

It is the AIPs responsibility to maintain records (documents) as stated in the SRA and described in the LAM.

D. Form Standards

- (1) The entry items and completion instructions in Exhibit 3 and Exhibit 4 are the minimum requirements for the Prune Appraisal Worksheet and PW. All entry items are "Substantive" (they are required).
- (2) The Privacy Act and Non-Discrimination statements are required statements that must be printed on the form or provided to the insured as a separate document. These statements are not shown on the example form(s) in the exhibits. See the DSSH for required statements.
- (3) The certification statement required by the current DSSH must be included on the PW directly above the insured's signature block immediately followed by the statement below:
 - "I understand the certified information on this Production Worksheet will be used to determine my loss, if any, to the above unit. The insurance provider may audit and approve this information and supporting documentation. The Federal Crop Insurance Corporation, an agency of the United States, subsidizes and reinsures this crop insurance."
- (4) Refer to the DSSH for other crop insurance form requirements (such as point size of font, and so forth). The current DSSH can be found on the RMA website at www.rma.usda.gov.

3-10 (Reserved)

PART 2: POLICY INFORMATION

The AIP determines the insured has complied with all policy provisions of the insurance contract. The Prune CP, which are to be considered in this determination, include (but are not limited to):

11 Insurability

The following may not be a complete list of insurability requirements. Refer to the BP, CP, and SP for a complete list.

A. Insured Crop

The crop insured will be all the prunes in the county for which a premium rate is provided by the actuarial documents:

- (1) in which the insured has a share;
- (2) that are grown for the production of natural condition prunes;
- (3) that are grown on trees that:
 - (a) are listed as insurable types in the SP;
 - (b) are grown on rootstock that is adapted to the area;
 - (c) are irrigated (except where otherwise provided in the SP);
 - (d) are grown in an orchard that, if inspected, is considered acceptable by the AIP; and
 - (e) have reached at least the seventh (7th) leaf year.

B. Interplanted Crops

Prunes interplanted with another perennial crop are insurable unless the AIP inspects the acreage and determines it does not meet the requirements contained in the policy.

12 Unit Division

Unless limited by the CP or SP, a basic unit as defined in the BP, may be divided into optional units if, for each optional unit, all conditions stated in the applicable provisions are met.

Reminder: Refer to the insurance contract for unit provision information.

A. Insurable Causes of Loss or Damage

Insurance coverage is provided only against the following COLs that occur within the insurance period:

- (1) adverse weather conditions;
- (2) fire, unless weeds and other forms of undergrowth have not been controlled or pruning debris has not been removed from the orchard;
- (3) wildlife, unless control measures have not been taken;
- (4) earthquake;
- (5) volcanic eruption;
- (6) insects, but not damage due to insufficient or improper application of pest control measures; or
- (7) plant disease, but not damage due to insufficient or improper application of disease control measures.

B. Uninsurable Causes of Loss or Damage

Insurance coverage is not provided against damage or loss of production due to:

- (1) insect damage due to insufficient or improper application of pest control measures;
- (2) plant disease due to insufficient or improper application of disease control measures; or
- inability to market prunes for any reason other than actual physical damage from an insurable cause specified in the CP.

Example: The AIP will not pay an indemnity if the insured is unable to market due to quarantine, boycott, or refusal of any person to accept production.

14-20 (Reserved)

PART 3: APPRAISALS

Section 1: General Information

21 Notice of Damage

Within the CP is a requirement that insureds file a "notice of damage or loss" unless the insurance period has ended prior to each of the following events:

- (1) At least 3 days prior to the date harvest should have started if the crop/variety will not be harvested.
- (2) At least 15 days before any production from any unit will be harvested for direct marketing or sold as fresh fruit:
 - (a) The AIP will conduct an appraisal that will be used to determine the insured's production to count for production that is sold by direct marketing or is sold as fresh fruit production.
 - (b) If damage occurs after this appraisal, the AIP will conduct an additional appraisal.
 - (c) These appraisals and any acceptable records provided by the insured will be used to determine production to count.
 - (d) Failure to give timely notice that production will be harvested for direct marketing or sold as fresh fruit, will result in an appraised amount of production to count of not less than the production guarantee per acre, if such failure results in the inability of the AIP to make the required appraisal.
- (3) If the insured intends to claim an indemnity on any unit, insured shall:
 - (a) notify the AIP at least 15 days prior to the beginning of harvest; or
 - (b) immediately if damage is discovered during harvest so that the AIP may inspect the damaged production.

Important:

The insured must not destroy the damaged crop until after the AIP has given the insured written consent to do so. If the insured fails to meet the requirements listed above and such failure results in the AIP's inability to inspect the damaged production, all such production will be considered undamaged and included as production to count.

22 Appraisal Dates

- (1) AIP representatives will set appraisal dates.
- (2) Whenever possible, appraise prunes after the "Reference Date" issued by the RMA Regional Office and before prunes are removed from the trees or from the ground, as applicable.

23 Appraisal Periods

Prune appraisal periods are as follows:

Appraisal period	Timing
First-period immature appraisals	Conduct appraisals from the "Reference Date" through the 15th day after the reference date.
Second-period immature appraisals	Conduct appraisals from the 16th day after the reference date until fruit maturity.
Mature prune appraisals	Conduct appraisals on unharvested mature prunes and for production to be sold by direct marketing or sold as fresh fruit.

24 Selecting Representative Sample Trees

- (1) Take no less than the minimum number (count) of representative samples required in Exhibit 5.
- (2) Select representative sample trees based on:
 - (a) total acreage and number of trees;
 - (b) extent of variation in the amount of production or damage within the orchard/block and location of prunes on the tree, when variable damage causes the crop potential to be significantly different within the same orchard/block, or an insured wishes to destroy a portion of the unit, split the unit into orchards/blocks, and appraise each orchard/block separately;
 - (c) percent of each prune variety in the acreage;
 - (d) tree age, size, density, and vigor; and
 - (e) acreage in the unit from which prunes have been picked, and the extent of variation in the amount of unpicked prunes on the trees.

25 Handling Appraisal Discrepancies

If the insured disagrees with the unharvested prune appraisal, the adjuster shall:

- (1) make arrangements for leaving representative trees unharvested and for inspecting those trees when the prunes are ready to harvest (harvest-appraisal);
- (2) with the insured, jointly select sample trees for fruit counts;
- (3) make a sketch map of the orchard/block and mark the sample trees by row number and tree count within the chosen row; and
- (4) be present when the representative trees are harvested.

Reminder: If an insured refuses to sign appraisal worksheet(s), refer to the LAM for information on unusual/controversial cases.

Section 2: Appraisal Methods

26 General Information

(1) Adjusters shall use the following methods for appraising production on insured prune acreage that will not be harvested.

Appraisal Method	For immature and mature appraisals, use:	
Unharvested Prune Appraisals	(1) Quadrant fruit counts: Visually quarter a sample tree and count the fruit in a representative quadrant. Multiply the quadrant count by 4 to calculate the number of fruit on the sample tree.	
	(2) Scaffold limb fruit counts: Visually count the fruit on one representative sample scaffold limb. Multiply the fruit count on such scaffold limb by the total number of scaffold limbs on the sample tree to calculate the total number of fruit on the sample tree.	
Representative Tree Appraisals	The production harvested from the representative trees to determine the yield per acre.	
Harvested Acreage Appraisals	The average yield per acre from representative harvested acreage as the appraisal per acre for unharvested acreage.	

- (2) Refer to Exhibit 5 for the required number of representative sample trees.
- (3) Refer to Paragraph 24 for information on selecting representative sample trees.
- (4) To ensure consistent fruit counts, use only one fruit count method (quadrant fruit counts or scaffold limb fruit counts) in the orchard/block being appraised.

27 Unharvested Prune Appraisals

The following steps apply to first period, second period, and mature appraisals unless stated otherwise.

- (1) Count the number of green prunes on each representative sample tree using the quadrant fruit count or scaffold limb fruit count methods, as applicable.
- (2) Total the green prune counts from all sample trees and divide this amount by the number of sample trees to calculate the average number of green prunes per tree.

- (3) For Immature Prune Appraisal Only:
 - (a) For each sample tree, determine the number of green prunes required to equal one (1) pound.
 - (b) Total green fruit counts per pound from all sample trees and divide by the number of sample trees to calculate the average number of green prunes per pound.
 - (c) Convert the average number of green prunes per pound to the corresponding predicted dry prune count. Refer to Exhibit 8 for the applicable predicted dry prune count.
- (4) Calculate the appraised amount of prune tonnage per acre as follows:
 - (a) Multiply the average number of green prunes per tree by the applicable survival conversion factor in Exhibit 7 to calculate the number of green prunes per tree to count.
 - (b) Multiply the number of green prunes to count per tree by the number of trees per acre to calculate the total green prunes to count.
 - (c) Determine the average dry count per pound.
 - (d) Divide the total green prunes to count by the average dry count per pound to calculate the average dry pounds (of prunes) per acre.
 - (e) Divide the average dry pounds per acre by 2,000 pounds per acre to calculate the appraised amount of prune tonnage per acre.

28 Harvested Prune Appraisals

A. Representative Tree Appraisals

Follow the steps below to complete a representative tree appraisal:

- Step 1: The adjuster and insured shall jointly select representative sample trees that reflect the type and severity of insured crop damage in the orchard/block.
- Step 2: The adjuster shall make arrangements to be present when the insured harvests the representative sample trees.
- Step 3: During the field inspection, the adjuster shall:
 - (a) select sample prunes for grading from the harvested samples;
 - (b) determine amount of appraised potential production on each sample tree; and
 - (c) document the amount of potential appraised production on the appraisal worksheet.

B. Harvested Acreage Appraisals

Follow the steps below for completing harvested acreage appraisals:

- Step 1: Prior to harvest, estimate the potential amount of gross potential production on unharvested acreage.
- Step 2: After harvest, compare the estimated gross potential production for unharvested acreage to the actual gross production from harvested acreage.
- Step 3: If the unharvested potential production is comparable to the harvested production, use the average yield per acre from harvested acreage as the appraisal per acre for unharvested acreage.
- Step 4: Use this method only when the harvested acreage can be verified as being representative of the unharvested acreage.

29 Appraisal Deviation and Modifications

- (1) Deviations in appraisal methods require FCIC written authorization (as described in the LAM) prior to implementation.
- (2) There are no pre-established appraisal modifications in this handbook. Refer to the LAM for additional information.

30 General Information for Appraisal Worksheet Entries and Completion Procedures

- (1) Include the AIP's name in the appraisal worksheet title if not preprinted on the AIP's worksheet or when a worksheet entry is not provided.
- (2) Include the claim number on the appraisal worksheet (when required by the AIP) when a worksheet entry is not provided.
- (3) Complete a separate appraisal worksheet for each unit/orchard/block inspected, as applicable, and for acreage damaged by uninsured causes.
- (4) Standard appraisal worksheet items are numbered consecutively in Exhibit 3. Example appraisal worksheets are provided to illustrate how to complete entries (except the last three items on the appraisal worksheet).
- (5) For every inspection, complete all applicable column entries on the appraisal worksheet.
- (6) In column 8, enter either "Immature" or "Mature," to identify the applicable appraisal period.
- (7) For zero appraisals, refer to the LAM.
- (8) Refer to the LAM for information on determining perennial crop acreage.

31-40 (Reserved)

PART 4: PRODUCTION WORKSHEET

41 General Information for Production Worksheet Entries and Completion Information

- (1) The PW is a progressive form containing all notices of damage for all preliminary and final inspections (including "No Indemnity Due" claims) on a unit.
- (2) If a PW has been prepared on a prior inspection, verify each entry and enter additional information as needed.
 - (a) If a change or correction is necessary, strike out all entries on the line and re-enter correct entries on a new line.
 - (b) The adjuster and the insured shall initial any line deletions.
- (3) Refer to the LAM for instructions regarding:
 - (a) acreage report errors;
 - (b) delayed notices and delayed claims;
 - (c) corrected claims or fire losses (double coverage), and cases involving uninsured COLs, unusual situations, controversial claims, concealment, or misrepresentation;
 - (d) claims involving a Certification Form (when all the acreage on the unit has been appraised to be put to another use or other reasons as described in the LAM); and
 - (e) "No Indemnity Due" claims (which must be verified by an appraisal or notification from the insured that the production exceeded the guarantee).
- (4) The adjuster is responsible for determining if any of the insured's requirements under the notice and claim provisions have not been met. If any have not, the adjuster shall contact the AIP.
- (5) Instructions labeled "Preliminary" apply to preliminary inspections only. Instructions labeled "Final" apply to final inspections only. Instructions not labeled apply to all inspections.
- (6) If the AIP determines the claim is to be denied, refer to the LAM for PW completion instructions.
- (7) Standard PW items are numbered consecutively in <u>Exhibit 4</u>. An example PW is also provided to illustrate how to complete item entries.

42-50 (Reserved)

EXHIBITS

Exhibit 1 Acronyms and Abbreviations

The following table provides the acronyms and abbreviations used in this handbook.

Approved Acronym/Abbreviation	Term
AD	Actuarial Documents
AIP	Approved Insurance Provider
BP	Common Crop Insurance Policy Basic Provisions
CAT	Catastrophic Risk Protection Endorsement
CIH	Crop Insurance Handbook
CLU	Common Land Unit
СоГо	Commercially Objectionable Foreign Odor
COL	Cause of Loss
СР	Crop Provisions
DSSH	Document and Supplemental Standards Handbook
FAD	Final Agency Determination
FCIC	USDA Federal Crop Insurance Corporation
FDA	Food and Drug Administration
FSA	USDA Farm Service Agency
GSH	General Standards Handbook
GPS	Global Positioning System
KD	Total Defects
LAM	Loss Adjustment Manual
LASH	Loss Adjustment Standards Handbook
OPI	Office of Primary Interest
PW	Production Worksheet
QA	Quality Adjustment
RMA	USDA Risk Management Agency
SP	Special Provisions ***
SRA	Standard Reinsurance Agreement
TMA	Transitional Yield Map Area
TW	Test Weight
USDA	United States Department of Agriculture
UUF	Uninsured Unavoidable Fire



Exhibit 2 Definitions

<u>Block</u>: Trees in an orchard of a single or mixed age and density, separated by applicable practice, type, variety, different TMA, or other characteristics shown in the actuarial documents.



<u>Dried Fruit Association in California</u>: The organization that provides inspection services for dried fruit and nuts (including prunes) to determine the quality and marketability of prunes by grade.

<u>Harvest</u>: The picking of mature prunes from the trees or ground either by hand or machine.

<u>Natural Condition Prunes</u>: The condition of prunes in which they are normally delivered from a dehydrator or dry yard.

<u>Prunes</u>: Any type or variety of plums grown in the area for the production of prunes and that meet the requirements defined in the applicable Federal Marketing Agreement Dried Prune Order.

<u>Reference Date</u>: The calendar date occurring one to two weeks after (prune) pit hardening, when 80 to 90 percent of the seeds show presence of endosperm. Endosperm is a clear jelly-like substance at the bloom end of the seed. The Reference Date usually occurs from May 1 through May 15 and is published each crop year in a memorandum that is issued by RMA.

<u>Scaffold Limb</u>: One of several fruit bearing tree limbs that are directly attached to the main trunk and form the framework of the tree canopy.

Standard Prunes: Any natural condition prunes:

- (1) that Grade "C," "U.S. Standard," or better in accordance with the United States Standards for Grades of Fresh Plums and Prunes; or
- (2) that meet or exceed the grade standards in effect for the crop year if a Federal Marketing Agreement Dried Prune Order has been established for the area in which the insured crop is grown.

Quadrant: For appraisal purposes, a quadrant, is one-fourth (1/4th) of the tree canopy measured on vertical planes from the top of the tree down to the tree trunk at the soil line.

Exhibit 3 Form Standards – Appraisal Worksheet

Verify and/or make the following entries for each appraisal worksheet element/item number. A completed appraisal worksheet example is at the end of this exhibit. For general form standards and other general information, see Subparagraph 2D and Paragraph 3D.

PART 1: GENERAL INFORMATION

Element/Item Number	Standard		
Company	Name of AIP if not preprinted on the worksheet (Company Name).		
Claim Number	Claim number as assigned by the AIP.		
Insured's Name	Name of insured that identifies exactly the person (legal entity) to		
	whom the policy is issued.		
Policy Number	Insured's assigned policy number.		
Crop Year	Four-digit crop year as defined in the policy for which the claim is filed.		
Unit Acres	Unit acreage, rounded to tenths.		
Unit Number	Unit number from the Summary of Coverage after verified to be		
	correct.		
Cause(s) of Damage	Name of the insured COL for this crop as listed in the LAM. Refer to the		
	BP and CP for information pertaining to insured and uninsured COLs.		
	If an insured COL is listed as "Other," explain in the Remarks. Important: If it is evident that no indemnity is due, enter "None."		
Date of Damage	Date(s) of damage in MM/DD/YYYY format.		
Immature/Mature	Enter "Immature" or "Mature," as applicable to indicate the appraisal period.		
Appraisal Date	Appraisal date in MM/DD/YYYY format.		
Reference Date	Reference date in MM/DD/YYYY format.		
	Reminder: Refer to the RMA Informational Memorandum for the reference date for the current crop year.		
	Company Claim Number Insured's Name Policy Number Crop Year Unit Acres Unit Number Cause(s) of Damage Immature/Mature Appraisal Date		

PART 2: SAMPLING

	Element/Item Number	Standard	
11.	Orchard ID	Block/orchard/suborchard identification symbol.	
12.	Appraised Acres	Block/orchard/suborchard appraised acres, rounded to tenths.	
13.	No. of Green Prunes per Sample	(1) Determine the number of green prunes per sample tree.	
		(2) As applicable, use the quadrant or scaffold limb fruit count method to count green prunes. Use only one method for acreage being appraised.	
		Reminder: Identify fruit count method used in the Remarks.	

Exhibit 3 Form Standards – Appraisal Worksheet (Continued)

	Element/Item Number	Standard
14.	Total No. of Green Prunes	Total the number of green prunes from all sample trees entered in
		column 13.
15.	Total No. of Sample Trees	Total the number of sample trees in column 13.
16.	Avg. No. of Green Prunes	Column 14 divided by column 15, results rounded to whole prunes.
	per Tree	

PART 3: GREEN PRUNE COUNT

Use first period immature appraisals from the Reference Date through 15 calendar days after the Reference Date.

	Element/Item Number	Standard
17.	No. of Green Prunes per Lb. per Sample	First period immature appraisals: From the number of green prunes in item 13, from each sample tree, count the number of green prunes it takes to equal 1 (one) pound.
		All other appraisals: Make no entry.
18.	Total No. of Green Prunes	First period immature appraisals: Total the number of green prunes from all sample trees entered in column 17.
		All other appraisals: Make no entry.
19.	Total No. of Sample Trees	First period immature appraisals: Transfer the entry from column 15. All other appraisals: Make no entry.
20.	Average No. of Cases	
20.	Average No. of Green Prunes per Lb.	First period immature appraisals: Column 18 divided by column 19, results rounded to whole prunes.
		All other appraisals: Make no entry.
21.	Predicted Dry Prune Count	First period immature appraisals: From average prune count in column 20, refer to Exhibit 8 for the applicable predicted dry count under the "Predicted Harvest Size," column heading. Enter such dry count.
		All other appraisals: Make no entry.
22.	Average No. of Green Prunes per Tree	Transfer entry from column 16.

Exhibit 3 Form Standards – Appraisal Worksheet for California (Continued)

	Element/Item Number	Standard				
23.	Percent Survival Conversion	Enter the percent survival as a two-place decimal. Determine as follows:				
		(1) Count the number of calendar days between the Reference Date in item 9 and the appraisal date in item 10.				
		(2) Refer to Exhibit 7 and determine the applicable percent survival conversion factor based on the number of calendar days past the Reference Date.				
		Example: If the reference date is May 10 and the appraisal is made on May 27 (17 days after such reference date), the applicable survival rate is 65.				
24.	No. of Green Prunes per Tree	Column 22 multiplied by column 23, results rounded to whole prunes.				
25.	No. of Trees per Acre	Number of bearing trees per acre as determined by the adjuster.				
26.	Total Green Prunes to Count	Column 24 multiplied by column 25.				
27.	Avg. Dry Count per Lb.	Enter the number of dried prunes per pound for the applicable appraisal period as follows:				
		(1) First-period immature appraisals: Transfer entry from column 21.				
		(2) Second period immature appraisals: Use the average number of dried prunes per pound from the RMA Product Management Bulletin for the applicable crop year.				
		(3) Mature Prune Appraisals: Use the average number of dried prunes per pound from the RMA Product Management Bulletin for the applicable crop year.				
28.	Avg. Dry Lbs. per Acre	Column 26 divided by column 27, results rounded to whole pounds.				
29.	Lbs. per Ton	Make no entry. "2,000" is preprinted on the appraisal worksheet.				
30.	Appraised Tons per Acre	Column 28 divided by column 29, results in tons rounded to tenths.				
		(1) Insured cause appraisals: Transfer this tonnage to column 31, "Appraised Potential" on the PW.				
		(2) Uninsured causes appraisals: Refer to PW column 37, "Unins. Causes" instructions in <u>Exhibit 4</u> .				

Exhibit 3 Form Standards – Appraisal Worksheet (Continued)

	Element/Item Number	Standard
31.	Remarks	Enter any pertinent appraisal information such as:
		(1) How the number of prunes per pound is determined.
		(2) Fruit count method used.
		(3) The number of trees that are uninsurable.
		(4) Any uninsured causes of damage.

The following required entries are not illustrated on the appraisal worksheet example below.

	Element/Item Number	Standard
32.	Adjuster's Signature, Code	Signature of adjuster, code number, and date signed after the insured
	#, and Date	(or insured's authorized representative) has signed. If the appraisal is
		performed prior to signature date, document the date of appraisal in
		the Remarks/Narrative section of the appraisal worksheet (if available);
		otherwise, document the appraisal date in the Narrative of the PW.
33.	Insured's Signature and	Insured's (or insured's authorized representative's) signature. Before
	Date	obtaining the insured's signature, review all entries on the appraisal
		worksheet with the insured or insured's authorized representative,
		particularly explaining codes, etc., which may not be readily
		understood.
34.	Page Number	Page number(s).
		Example: Page 1 of 1, Page 1 of 2, etc.

				xample: F	iist Pei	iou iiiiii	iature	Appra	aisai				
Claim Num	ber: XX	xxxx						Com	pany Na	me: A	ny Comp	oan	y
				PART 1:	GENE								
1. Insured's	Name:					2. Policy	Number:	: 3.0	rop Yea	r: 4.	Unit Acres:	5.	Unit Number:
		I. M.	Insured			XX	XXX		YYYY	/	30.0	0	001-0002BU
6. Cause(s)	of Damage:		7. Da	te(s) of Damage	2:	8.	lmmatur	e/Matur	e: 9	9. Apprais	al Date:	10. F	Reference Date:
L	xcess Pre	cip.		MM/DD/	/γγγγ		lm	matui	e l	MM/D	D/YYYY	MN	N/DD/YYY
			<u>'</u>	Р	ART 2	: SAMF	LING						
Orchard ID	Appraised Acres 12		No. of Green	Prunes per Sar 13	mple Tree		Tota	No. of Prunes			al No. of les Trees 15		g. No. of Green unes per Tree (14 ÷ 15) 16
A-1	5.0	900	875	1,125	985	1,150		5,035			5	1,007	
	Fir	st Period	I Immature A	PART 3					days a	fter Refe	rence Date)	
			er Lb. per Sam			No. Green 18			o. of San	А	vg. No. of Gr Prunes per L (18 ÷ 19) 20	een	Predicted Dry Prune Count 21
60	66	8:	1 65	68		340			5		68		47
				PART 4:	PROD	UCTIO	N TO	COUN	т				
Avg. No. o Green Prun per Tree (from 16) 22	es Perc Surv	ent ival rsion	No. of Green Prunes per Tree (22 x 23) 24	No. of Trees p Acre 25	Tota Proper C	al Green unes to Count 4 x 25) 26	Avg. Dr	y Count r Lb. ?7	Avg. Dr A (26	ry Lbs. per Acre 5 ÷ 27) 28	Lbs. per To	on	Appraised Tons per Acre (28 ÷ 29) 30
1,007	0.6	50	604	132	79	9,728	4	17	1,	696	2,000	,	0.8

This form example does not illustrate all required entry items (e.g., signatures, dates, etc.).

Item 21 entry taken from exhibit 8 in the prune handbook.

		PRU	NE AP		SAL WOR		•	•						ONLY)		
Claim Num	iber:	xxxxxx	(Cor	npany N	ame:	Any Com	pan	y
					PART 1	L: GE	NEI	RALI	NF	ORM	ATIC	N				
1. Insured's	Name:									umber:		Crop Ye	ar: 4	4. Unit Acres:	5.	. Unit Number:
		I. M.	Insur	ed					XX	кхх		YYY	Y	30.0	(0001-0002BU
6. Cause(s)	of Damage	2:		7. Dat	te(s) of Dama	ege:			8. Ir	nmatur	e/Matu	re:	9. Appr	aisal Date:	10.	Reference Date:
	Excess F	Precip.			MM/DI	D/YY	ΥΥ			Im	matu	re	мм,	/DD/YYYY	м	M/DD/YYYY
						PAR	T 2:	SAN	ИΡ	LING						
Orchard ID	Appraise Acres 12	d	No. of	Green	Prunes per S	Sample	Tree			Tota	No. of Prune 14			otal No. of nples Trees 15		g. No. of Green runes per Tree (14 ÷ 15) 16
		1,115	9	10	1,005	88	35	91	5							
A-2	6.0	7			7						4,83	830		5		966
					PART	3: 0	GREE	N PI	RUI	NE C	OUN					
		First Perio	d Imma	ture A									after Re	ference Date	e)	
		een Prunes p	er Lb. pe						en F	runes		lo. of Sa 19	V	Avg. No. of G Prunes per (18 ÷ 19 20	ireen Lb.	Predicted Dry Prune Count 21
										X						
					PART 4	ı. PR	OD	UCTI	ON	TO	COUN	JT				1
Green Prur per Tree	reen Prunes Percent Prunes per per Tree Survival Tree No. of Trees per (from 16) Conversion (22 x 23) Acre		Tota Pru Co (24	I Green nes to ount x 25) 26	1	Avg. Dry Count per Lb. 27		Avg. [Ory Lbs. p Acre 16 ÷ 27) 28	Lbs. per	Ton	Appraised Tons per Acre (28 ÷ 29) 30				
966		1.00	96	6	132		127	7,512		6	6	1	,932	2,00	0	1.0
31. Remark Scaffold		iit count	metho	od us	ed to cald	culate	e ent	tries j	for	item :	13.	1				

This form example does not illustrate all required entry items (e.g., signatures, dates, etc.).

Item 27 entry taken from RMA Informational Memorandum.

Exhibit 4 Form Standards – Production Worksheet

Verify and/or make the following entries for each PW element/item number. A completed PW for prunes is at the end of this exhibit. For general form standards and other general information, see Subparagraph 2D and Paragraph 41.

	Element/Item Number	Standard							
1.	Crop/ Code #	Prunes (0036).							
2.	Unit #	Unit number from the Summary of Coverage after it is verified to be correct.							
3.	Location Description	Land location that identifies the legal description and, if available, the location of the unit (e.g., section, township, and range; FSA Farm Numbers; FSA Common Land Units and tract numbers; GPS identifications; or Grid identifications) as applicable for the crop.							
4.	Date(s) of Damage	(1) First three letters of the month(s) during which the determined insured damage occurred for the inspection and COLs listed in item 5 below.							
		(2) If no entry in item 5 below, make no entry.							
		(3) For progressive damage, enter in chronological order the month that identifies when the majority of the insured damage occurred. Include the specific date where applicable as in the case of hail damage (e.g., "Jun 05"). Enter additional dates of damage in the extra spaces, as needed. If more space is needed, document additional dates of damage in the Narrative (or on a Special Report). Refer to the example in item 6 below.							
		(4) If there is no insurable cause of loss, and a no indemnity due claim will be completed, make no entry.							
5.	Cause(s) of Loss	(1) Name of the determined insured cause(s) of loss as listed in the LAM for the date of damage listed in item 4 above for this inspection.							
		(2) If an insured cause(s) of loss is coded as "Other," explain in the Narrative.							
		(3) Enter additional causes of damage in the extra spaces, as needed. If more space is needed, document the additional determined insured causes of loss in the Narrative (or on a Special Report). Refer to the illustration in item 6 below.							
		(4) If it is evident that no indemnity is due, enter "No Indemnity Due" across the columns in item 5 (refer to the LAM for more information on no indemnity due claims).							
6.	Insured Cause %	Preliminary: Make no entry.							

	Element/Item Number	Standard											
6.	Insured Cause % (Continued)	Final:	Final: Whole percent of damage for the insured cause of damage listed in item 5 above for this inspection. Enter additional "Insured Cause %" in the extra spaces, as needed. If additional space is needed, enter the additional determined "Insured Cause %" in the Narrative (or on a Special Report). The total of all "Insured Cause %" including those entered in the Narrative must equal 100%.										
		Example:	entries for m	ultiple da es of dam	ates of dan	d the Narrative, reflecting damage, the corresponding nd insured cause							
		4. Dat	e of damage:	May	Jun 30	Jun 30	Aug	Aug					
			use(s) of mage:	Excess Moisture	Tornado	Hail	Drought	Heat					
		6. Inst	ured Cause %:	10	20	15	25	20					
		Narrative: Sep 5 additional date of damage, freeze cause of damage, 10% insured cause percentage.											
		Important:	mportant: Make no entry if there is no insurable COL, and no										
	<u> </u>	indemnity due claim will be completed. Name of the AIP and agency servicing the contract.											
7.	Company/Agency				_								
8.	Name of Insured	whom the po	insured that id licy is issued.	entifies e	exactly the	person (iegai entit	y) to					
9.	Claim #	Claim numbe	r as assigned b	y the AIP	•								
10.	Policy #		gned policy nu										
11.	Crop Year	Four-digit cro filed.	p year, as defi	ned in th	e policy, fo	r which t	the claim i	S					
12.	Additional Units	Preliminary:	Make no ent	ry.									
		Final:	Unit number time of final which a PW I loss units ma	inspectio has not be	n. A non-le een comple	oss unit i eted. Ad	s any unit Iditional n	for					
		Important:	If more space unit numbers Narrative or	s, identifi	ed as "Nor	n-Loss Ur	its" in the						

Exhibit 4 Form Standards – Production Worksheet (Continued)

	Element/Item Number	Standard
13.	Est. Prod. Per Acre	Preliminary: Make no entry.
		Final: Estimated yield per acre, in whole tons, of all non-loss units for the crop at the time of final inspection.
14.	Date(s) Notice of Loss	Preliminary:
		(1) Date the first or second notice of damage or loss was given for the unit in item 2, in the 1st or 2nd space, as applicable. Enter the complete date for each notice in MM/DD/YYYY format.
		(2) A notice of damage or loss for a third preliminary inspection (if needed) requires an additional set of PWs. Enter the date of notice for a third preliminary inspection in the 1st space of item 14 on the second set of PWs.
		(3) Reserve the "Final" space on the first page of the first set of PWs for the date of notice for the final inspection.
		(4) If the inspection is initiated by the AIP, enter "Company Insp." instead of the date.
		(5) If the notice does not require an inspection, document as directed in the Narrative instructions.
		Final: Transfer the last date (in the 1st or 2nd space from the first or second set of PWs) to the final space on the first page of the first set of PWs if a final inspection should be made as a result of the notice. Always enter the complete date of notice in MM/DD/YYYY format for the final inspection in the final space on the first page of the first set of PWs. For a delayed notice of loss or delayed claim, refer to the LAM.
15.	Companion Policy(s)	(1) If no other person has a share in the unit (insured has 100 percent share), make no entry.
		(2) In all cases where the insured has less than a 100 percent share of a loss-affected unit, ask the insured if the other person sharing in the unit has a multiple-peril crop contract (i.e., not crop-hail, fire, etc.). If the other person does not, enter "None."
		(a) If the other person has a multiple-peril crop insurance contract and it can be determined that the same AIP services it, enter the contract number. Handle these companion policies according to AIP instructions.

Exhibit 4 Form Standards – Production Worksheet (Continued)

	Element/Item Number			Standard
15.	Companion Policy(s) (Continued)		(b)	If the other person has a multiple-peril crop insurance contract and a different AIP or agent services it, enter the name of the AIP and/or agent (and contract number) if known.
			(c)	If unable to verify the existence of a companion contract, enter "Unknown" and contact the AIP for further instructions.
		` '	Refer contra	to the LAM for further information regarding companion acts.

Section I – Determined Acreage Appraised, Production, and Adjustments

Make separate line entries for varying:

- (1) types, irrigated practices, or organic practices, as applicable;
- (2) APH yields;
- (3) appraisals;
- (4) stages or intended use(s) of acreage;
- (5) shares (e.g., 50 percent and 75 percent shares on the same unit); or
- (6) appraisals for damage due to hail or fire if Hail and Fire Exclusion is in effect.

	Element/Item Number	Standard
16.	Field ID	Orchard/block identification symbol from the appraisal worksheet, sketch map, or aerial photograph, as applicable.
		Refer to the Narrative instructions.
17.	Multi-crop Code	The applicable two-digit code for first crop and second crop.
		Refer to the LAM for instructions regarding entry of first and second crop codes.
18.	Reported Acres	In the event of over-reported acres, handle in accordance with the individual AIP's instructions. In the event of under-reported acres, enter the reported acres to tenths for the orchard or suborchard. If there are no under-reported acres, make no entry.
		Refer to the LAM or CIH for acreage determination instructions specific to perennial crops.

Element/Item Number		Standard			
19.	Determined Acres	Refer to the LAM or CIH for definition of acceptable determined acres used herein and how acres for perennial crops are determined.			
		Enter determined acres to tenths (include "E" if estimated) for which consent is given for other use and/or:			
		(1) put to other use without consent;			
		(2) abandoned;			
		(3) damaged by uninsured causes;			
		(4) for which the insured failed to provide acceptable records of production; or			
		(5) from which production was sold by direct marketing if the insured failed to meet the requirements contained in the CPs.			
		Acreage breakdowns within a unit may be estimated if a determination is impractical. Refer to the LAM for procedures regarding when estimated acres are allowed and documentation requirements.			
		Account for all planted acreage in the unit.			
20.	Interest or Share	Insured's interest in the crop to three decimal places as determined at the time of inspection. If shares vary on the same unit, use separate line entries.			
21.	Risk	Make no entry.			
22.	Туре	(1) Three-digit code entered exactly as specified on the AD for the type grown by the insured.			
		(2) If "No Type Specified" is shown in the AD, enter the appropriate three-digit code from the AD (e.g., 997).			
		(3) If a type (or variety) is not specified on the AD, make no entry.			
23.	Class	(1) Three-digit code entered exactly as specified on the AD for the class grown by the insured.			
		(2) If "No Class Specified" is shown in the AD, enter the appropriate three-digit code from the AD (e.g., 997).			
		(3) If a class is not specified on the AD, make no entry.			
24.	Sub-Class	(1) Three-digit code entered exactly as specified on the AD for the sub-class grown by the insured.			
		(2) If "No Sub-Class Specified" is shown in the AD, enter the appropriate three-digit code from the AD (e.g., 997).			
		(3) If a sub-class is not specified on the AD, make no entry.			

Exhibit 4 Form Standards – Production Worksheet (Continued)

Element/Item Number			Standard			
25.	Intended Use	(1)	Three-digit code entered exactly as specified on the AD for the intended use of the crop grown by the insured.			
		(2)	If "No Intended Use Specified" is shown in the AD, enter the appropriate three-digit code from the AD (e.g., 997).			
		(3)	If an intended use is not specified on the AD, make no entry.			
26.	Irr. Practice	(1)	Three-digit code entered exactly as specified on the AD for the irrigated practice carried out by the insured.			
		(2)	If "No Irrigation Practice Specified" is shown in the AD, enter the appropriate three-digit code from the AD (e.g., 997).			
		(3)	If irrigation practice is not specified on the AD, make no entry.			
27.	Cropping Practice	(1)	Three-digit code entered exactly as specified on the AD for the cropping practice carried out by the insured.			
		(2)	If "No Cropping Practice" is shown in the AD, enter the appropriate three-digit code from the AD (e.g., 997).			
		(3)	If cropping practice is not specified on the AD, make no entry.			
28.	Organic Practice	(1)	Three-digit code entered exactly as specified on the AD for the organic practice carried out by the insured.			
		(2)	If "No Organic Practice Specified" is shown in the AD, enter the appropriate three-digit code number from the AD (e.g., 997).			
		(3)	If organic practice is not specified on the AD, make no entry.			

Exhibit 4 Form Standards – Production Worksheet (Continued)

Element/Item Number	Standard			
29. Stage	Preliminary: Make no entry.			
	Final: Stage abbreviation as shown below:			
	"P"Acreage abandoned without consent, put to other use without consent, damaged solely by uninsured causes, or for which the insured failed to provide records of production which are acceptable to the AIP, or from which production was sold by direct marketing if the insured failed to meet the requirements contained in the CP.			
	"H"Harvested.			
	"UH"Unharvested or put to other use with consent.			
	"TZ"UUF/Third Party Damage – Zero production on same acreage.			
	"TA"UUF/Third Party Damage – Appraised production on same acreage.			
	"TH"UUF/Third Party Damage – Harvested production on same acreage.			
	Gleaned Acreage: Refer to the LAM for information on gleaning.			

Exhibit 4 Form Standards – Production Worksheet (Continued)

Element/Item Number	Standard			
30. Use of Acreage	Use the following abbreviations, as applicable:			
	Use Explanation "Bulldozed," etcUse made of the acreage.			
	"WOC"Other use without consent.			
	"SU"Solely uninsured.			
	"ABA" Abandoned without consent.			
	"H"Harvested.			
	"HI"Harvest incomplete.			
	"UH"Unharvested.			
	Verify any "Use of Acreage" entry. If the final use of the acreage was not as indicated, strike out the original line and initial it. Enter all data on a new line showing the correct "Use of Acreage."			
	Gleaned acreage: Refer to the LAM for information on gleaning.			
31. Appraised Potential	(1) Transfer the per-acre appraisal in tons, rounded to tenths, of potential production from item 30 "Appraised Tons per Acre" on the appraisal worksheet.			
	(2) Refer to the appraisal methods and applicable appraisal worksheet for additional instructions.			
	(3) If there is no potential on UH acreage enter "0.0."			
	Important: Refer to the LAM for procedures on documenting zero yield appraisals.			
32a-33.	Make no entry.			
34. Production Pre-QA	Column 19 multiplied by column 31, results in tons, rounded to tenths.			
	If no entry in column 31, make no entry.			
35. Quality Factor	(1) If no destruction order, make no entry.			
	(2) For destruction orders:			
	(a) Under section 15 (j) of the BP, if due to insured causes, a Federal or State agency has ordered the appraised crop or production to be destroyed, enter the factor "0.000."			

Exhibit 4 Form Standards – Production Worksheet (Continued)

	Element/Item Number	Standard			
35.	Quality (Continued)	(2) For destruction orders (Continued):			
		(b) Instruct the insured to complete and submit a Certification Form stating the date the crop or production was destroyed and the method of destruction (refer to item 40 and the Narrative below). Refer to the LAM for additional information.			
		(c) Attach to the claim form, a copy of the completed Certification Form, destruction order, and any additional supporting QA documentation. For additional QA definitions, instructions, documentation, qualifications, and testing requirements, refer to the LAM. Also refer to the QA instructions in the Narrative, herein.			
36.	Production Post-QA	Make the following entries in tons, rounded to tenths. (1) No entry in column 35: Transfer entry from column 34.			
		(2) Entry in column 35: Column 34 multiplied by column 35.			
37.	Unins. Causes	Make the following entries in tons, rounded to tenths.			
		For uninsured cause(s) appraisals: Column 19 multiplied by item 30 on the appraisal worksheet or by the per acre appraisal for uninsured causes taken from other documentation. If no uninsured causes, make no entry.			

Exhibit 4 Form Standards – Production Worksheet (Continued)

Element/Item Number		Standard			
37.	Unins. Causes (Continued)	(1) Hail and Fire exclusion NOT in effect:			
		(6	a)	Enter the result of multiplying column 19 by not less than the insured's production guarantee per acre in tons rounded to tenths, for the line, (calculated by multiplying the elected coverage level percentage by the approved APH yield per acre shown on the APH form) for any "P" stage acreage.	
		(t	0)	On preliminary inspections, advise the insured to keep the harvested production from any acreage damaged solely by uninsured causes separate from other production.	
		(c	E)	For acreage that is damaged partly by uninsured causes, enter the result of multiplying the appraised uninsured loss of production per acre, in tons rounded to tenths, by column 19 entry for any such acreage.	
		` '		o the LAM when a Hail and Fire Exclusion is in effect and e is from hail or fire.	
				he result of adding uninsured cause appraisals to hail e exclusion appraisals.	
	(4) For fire losses, if the insured als (double coverage), refer to the		e losses, if the insured also has other fire insurance e coverage), refer to the LAM.		
		Importai	nt:	Refer to the LAM for information on how to determine	
				uninsured cause appraisals.	
38.	Total to Count	Result of adding column 36 and column 37, results in tons, to tenths.			
39.	Total	Prelimin	ary:	Make no entry.	
		Final:		Total of column 19 entries (Determined Acres), to tenths.	

Exhibit 4 Form Standards – Production Worksheet (Continued)

Element/Item Number			Sta	ndard							
40. Quality	to Ta	Check the applicable QA condition affecting the unit's production (refer to Table below). Check the condition that applies to the unit's appraised and harvested production.									
		TW KD Aflatoxin	Vomitoxin Fumonisin Garlicky	Dark Roast Sclerotinia Ergoty	COFO Other None						
	(1)	For all qualif a Special Rep		ions checked, in the	e Narrative or on						
		indic locat of the	(a) document the level for each qualifying Q indicated by approved test results, and to location of each testing facility that verifies of the qualifying quality adjustment conducte(s) of such tests; or								
		(b) enter	"See documer	ntation included in	in the claim file."						
		Impo	sumn work	rtant: Include a copy of the grade certificate, summary or settlement sheet, appraisal worksheet, etc., that documents the QA conditions.							
	(2)			dition to the above the Narrative or o							
		to th	e unit's produc	qualifying QA cond tion, including the ion has on the pro	cause and the						
		quali	fying quality ad	trolling authority t ljustment conditior health and why.							
	(3)	Check "None	e" if QA does no	ot apply to the unit	's production.						

Exhibit 4 Form Standards – Production Worksheet (Continued)

	Element/Item Number		Standard
41.	Mycotoxins exceed FDA, State or other health organization maximum limits.	(1)	Check "Yes" if any mycotoxin listed in item 40 above (including any identified as "Other") exceeds Federal, State, or other health organization limits; otherwise, make no entry.
		(2)	Document in the Narrative, or on a Special Report, the disposition of the production.
42.	Totals	(1)	Total of entries in columns 34, 36, 37, and 38, results in tons, to tenths.
		(2)	If a column has no entries, make no entry.

Narrative Instructions

If more space is needed, document on a Special Report and enter "See Special Report." Attach the Special Report to the PW.

- a. If no acreage is released on the unit, enter "No Acreage Released," adjuster's initials, and date.
- b. If notice of damage was given and no inspection is required, enter the unit number(s), "No Inspection," date, and adjuster's initials. The insured's signature is not required.
- c. Explain any uninsured causes, unusual, or controversial cases.
- d. If there is an appraisal in Section I, column 37 for uninsured causes due to hail/fire exclusion, show the original hail/fire liability per acre and the hail/fire indemnity per acre.
- e. Document the actual appraisal date if an appraisal was performed prior to the adjuster's signature date on the appraisal worksheet, and the date of the appraisal is not recorded on the appraisal worksheet.
- f. State that there is "No Other Fire Insurance" when fire damages or destroys the insured crop, and it is determined that the insured has no other fire insurance. Refer to the LAM.
- g. Explain any errors found on the Summary of Coverage.
- h. Explain any commingled production. Refer to the LAM.
- i. Explain any entry for "Production Not to Count" in Section II, column 62 and/or any production not included in Section II, column 56.

Example: Harvested production from uninsured acreage that can be identified separately from the insured acreage in the unit).

j. Explain a "No" checked in item 44 (Similar Damage).

Narrative Instructions (Continued)

- k. Attach a sketch map or aerial photograph to identify the total unit:
 - (1) if consent is or has been given to put part of the unit to another use;
 - (2) if uninsured causes are present; or

Exhibit 4

(3) for unusual or controversial cases.

Reminder: Indicate on the aerial photo or sketch map, the disposition of acreage destroyed or put to other use with or without consent.

- I. Explain any difference between date of inspection and signature dates. For an absentee insured, enter the date of the inspection and the date of mailing the PW for signature.
- m. When any other adjuster or supervisor accompanied the adjuster on the inspection, enter the code number of the other adjuster or supervisor and date of inspection.
- n. Explain the reason for a "No Indemnity Due" claim. "No Indemnity Due" claims are to be distributed in accordance with AIP's instructions.
- o. Explain any delayed notices or delayed claims as instructed in the LAM.
- p. Document any authorized estimated acres shown in column 19 as follows: "Line 3 'E' acres authorized by AIP MM/DD/YYYY."
- q. Document the method and calculation used to determine acres for the unit. Refer to the LAM.
- r. Specify the type of insects or disease when the insured cause of damage or loss is listed as insects or disease. List the control measures used and explain why they did not work.
- s. Document the name and address of the charitable organization when gleaned acreage is applicable. Refer to the LAM for more information on gleaning.
- t. Document any other pertinent information, including any data to support any factors used to calculate the production.
- u. For production ordered to be destroyed by a Federal or State agency, due to presence of injurious substances or conditions, include a copy of all supporting documentation in the insured's claim file.
 - (1) Explain any "0.000" factor entered in columns 35 and 65. Follow the documentation requirements listed in items 40 and 41 above.
 - (2) Document the date the crop was destroyed and the method of destruction.

Reminder: Refer to the LAM for additional documentation requirements.

Section II – Determined Harvested Production

- (1) When all acreage has been harvested, determine total production from warehouse receipts, packer/processor receipts, or farm management records (refer to the LAM for farm record requirements) verified by the adjuster and supported by written records from the first handler. This production will be the basis for computing losses from the insured and uninsured causes of damage on the PW.
- (2) Account for all harvested production (for all entities sharing in the crop) except production appraised before harvest and shown in Section I herein because the quantity cannot be determined later.
- (3) For production commercially stored, sold, etc., enter the name and address of storage facility, buyer, packing house, or processor as applicable in column 49 through 52. For fruit otherwise disposed of, indicate the method of disposition (e.g., sold at roadside stand, etc.).
- (4) If additional lines are necessary, enter data on a continuation sheet. Use separate lines for:
 - (a) Separate storage facilities.

Exhibit 4

- (b) Different first handlers (buyers, packing houses, or processors).
 - (i) The insured must have maintained satisfactory records of all production sold or stored.
 - (ii) Verify any packing house or processor records.
 - (iii) In all localities, if the first handler was not a packer or processor, the production will be
- (c) Different Types.
- (d) Varying shares (e.g., 50 percent and 75 percent shares on same unit).
- (e) Fresh and dried prunes when marketing records indicate both.
- (5) Harvested production from more than one insured practice or type and a separate approved APH yield has been established for each, the harvested production also must be entered on separate lines in columns 47a through 66 by type or practice.
 - **Important:** If production has been commingled, refer to the LAM.
- (6) There will generally be no harvested production entries in columns "47a" through "66" for preliminary inspections.

	Element/Item Number	Standard									
43.	Date Harvest Completed	Used to d	deteri	mine if there is a delayed notice or a delayed claim.							
		Reminde	er:	Refer to the LAM for delayed notice or delayed claim information.							
		Prelimina	ary: 1	Make no entry.							
		Final:									
		(1) T	he ea	rlier of the date the entire acreage on the unit was							
		(a	a)	harvested;							
		(k	o)	totally destroyed;							
		(c	c)	put to other use;							
		(c	d)	a combination of harvested, destroyed, or put to other use; or							
		(€	≘)	the calendar date for the end of the insurance period.							
		in	nsurar emain	e time of final inspection (if prior to the end of the nce period), there is any unharvested insured acreage ling on the unit that the insured does not intend to t, enter "Incomplete."							
		in b	nsurar een h	e time of final inspection (if prior to the end of the nce period), none of the insured acreage on the unit has arvested, and the insured does not intend to harvest creage, enter "No Harvest."							
		Importar	nt:	If the case involves a Certification Form, enter the date from the Certification Form when the entire unit is put to another use, etc. Refer to the LAM.							
44.	Damage Similar to Other Farms in the Area?	Prelimina	ary:	Make no entry.							
		Final:		Check "Yes" or "No." Check "Yes" if amount and cause of damage due to insurable causes is similar to the experience of other orchards in the area. If "No" is checked, explain in the Narrative.							
45.	Assignment of Indemnity			nly if an assignment of indemnity is in effect for the crop							
		1 -		se, check "No." Refer to the <mark>GSH</mark> .							
46.	Transfer of Right to Indemnity	Check "Yes" only if a transfer of right to indemnity is in effect for the unit for the crop year; otherwise, check "No." Refer to the GSH.									
47a.	Share	+		arying shares on same unit to three decimal places.							

Exhibit 4 Form Standards – Production Worksheet (Continued)

i	Element/Item Number	Standard
47b.	Field ID	(1) If only one practice and/or type of harvested production is listed in Section I, make no entry.
		(2) If more than one practice and/or type of harvested production is listed in Section I, and a separate approved APH yield exists, indicate for each practice/type, the corresponding Field ID (from Section I, column 16).
48.	Multi-crop Code	The applicable two-digit code for first crop and second crop. Refer to the LAM for instructions regarding entry of first crop and second crop codes.
49-52.	Length or Diameter, Width, Depth, Deductions	For production sold or stored, enter the name and address of the buyer, packinghouse, processor, or other first handler. For prunes otherwise disposed of, indicate the method of disposition (sold at roadside stand, etc.).
53-55.		Make no entry.
56.	Bu. Ton, Lbs., Cwt.	 (1) Circle "Ton" in column heading. (2) As applicable, on separate lines document all harvested production in tons rounded to tenths from insurable acreage that: (a) meets the definition of standard prunes; (b) is intended for use as fresh prunes; (c) is sold as standard prunes; and (d) is damaged by uninsured causes.
57.	Shell/Sugar Factor	(1) For fresh production: Enter "0.333" when there is fresh prune production entered in column 56.(2) For dried production: Make no entry.
58a-60	Db.	Make no entry.
61.	Adjusted Production	Make the following entries in tons, rounded to tenths. (1) For fresh production: Column 56 multiplied by column 57.
		(2) For dried production: Transfer entry from column 56.

Exhibit 4 Form Standards – Production Worksheet (Continued)

	Element/Item Number	Standard
62.	Prod. Not to Count	Net production not to count in tons, rounded to tenths, when acceptable records identifying such production are available, from harvested acreage which has been assessed an appraisal of not less than the guarantee per acre, or from other sources (e.g., other units or uninsured acreage).
		Important: This entry must never exceed production shown on the same line.
		Reminder: Explain any "production not to count" in the Narrative.
63.	Production Pre-QA	Result of subtracting column 62 from column 61, to tenths.
64a-	64b.	Make no entry.
65.	Quality Factor	Under section 15 (j) of the BP, if due to insured causes, a Federal or State agency has ordered the appraised crop or production to be destroyed, enter the factor "0.000." Refer to items 35, 40, 41, and the Narrative above for additional instructions and required documentation.
		A copy of all supporting quality adjustment documentation must be included in the insured's claim file. Refer to the LAM for additional information.
66.	Production to Count	(1) If entry in column 65, enter the result of multiplying column 63 by column 65, in tons rounded to tenths.
		(2) If no entry in column 65, transfer the entry from column 63.
67.	Total	(1) Total of column 63, in tons to tenths.(2) If no entry in column 63, make no entry.
68.	Section II Total	Total of column 66, in tons to tenths.
69.	Section I Total	Transfer entry from column 38 total.
70.	Unit Total	Total of column 68 and column 69, in tons to tenths.
71.	Allocated Prod.	(1) Enter the total production, in tons rounded to tenths, allocated to this unit that is included in Sections I or II of the PW. Document how allocated production was determined and record supporting calculations in the Narrative or on a Special Report.
		(2) Refer to the LAM for instructions for determining allocated production.

Exhibit 4 Form Standards – Production Worksheet (Continued)

	Element/Item Number		Standard			
72.	Total APH Prod	Make the following entries in tons, to tenths:				
		(1)	When there are entries in column 37 and/or item 71: Subtract the total of column 37 (item 42) and item 71 from item 70.			
		(2)	When there are no entries in column 37 and item 71: Transfer the entry from item 70.			
		Note:	Make no entry when separate APH yields are maintained by type, practice, etc., within the unit.			

The following required entries are not illustrated on the following PW examples.

	Element/Item Number		Standard
73.	Insured's Signature and Date		sured's (or insured's authorized representative's) signature nd date.
		th pa	efore obtaining the insured's signature, review all entries on the PW with the insured or insured's authorized representative, particularly explaining codes, etc., that may not be readily anderstood.
		(3) Fii	nal indemnity inspections should be signed on bottom line.
74.	Adjuster's Signature, Code #, and Date	` '	gnature of adjuster, code number, and date signed after the sured (or insured's authorized representative) has signed.
		Th	or an absentee insured, enter adjuster's code number only. The signature and date will be entered after the absentee has gned and returned the PW.
		(3) Fi	nal indemnity inspections should be signed on bottom line.
75.	Page Numbers	Prelimina	ary: Page numbers - "1," "2," etc., at the time of inspection.
		Final:	Page numbers.
		Example:	Page 1 of 1, Page 1 of 2, Page 2 of 2, etc.

								PROE	OUCT	ION W	ORKSHE	EET (FO	R ILLUS	STRAT	ION P	JRPO	SES C	NLY)									
1. Cre	p/Cod	e#	2. Uni	t#	3. Lo	ocation De	scription	1	7. Co	ompany			Any Con	npany			8. N	lame of Insu	red								
	Prunes	/0036		001-	S	W1/4,S1	06N-03	ow	Αį	gency			Any Ag	ency						I. M.	Insured						
			000	02BU													9. C	laim#			11. Crop Year						
4. Da	te(s) of	Damage	JU	JN 1														XX	XXXXXX			YY	YY				
5. Ca	use(s) c	of Damage	Exces	s Prec	ip												10.	Policy#	XXX								
6. Ins	ured Ca	ause %	10	00%													14.	Date(s)	1st		2nd		Final				
12. A	ddition	al Units															Noti	ice of Loss		MM/DE	/YYYY						
13. E	st. Prod	l. Per Acre															15. Companion Policy(s)										
SEC	TION I	- DETERN	IINED A	CREAG	E APPRA	ISED, PR	ODUCT	ION AN	D ADJ	USTMEN	TS																
Α. /	ACTUA	RIAL														В	. POT	ENTIAL YIEI	LD								
16.	17.	18.	19		20.	21.	22.	23.	24.	25.	26.	27.	28.	29.	30		31.	32a. 32b.	- 33.	34.	35.	36.	37.	38.			
Field	Multi-	Reported	Determi	inad	Interest				Sub-	Intended		Cropping	Organic		Inten	ded ,	praised	Moisture %	Shell %,	Production	Quality	Daniel continue	Uninsure	d Total to			
ID	Crop	Acres	Acre	- 1	or	Risk	Type	Class	Class	Use	In Practice	Practice	Practice	Stage		nal p	otential	Factor	Factor, or	Pre QA	Factor	Production Post QA	Causes	Count			
<u> </u>	Code			-	Share										Use	9		ractor	Value			10310,1					
A-1	NS		5.0		1.000		997	997	997	997	002	997	997	UH	UH	1	0.8			4.0		4.0		4.0			
A-2	NS		6.0		1.000		997	997	997	997	002	997	997	UH	UH		1.0		-	6.0		6.0		6.0			
A-3	NS		19.0	,	1.000		997	997	997	997	002	997	997	Н	Н				-								
					40. Qualit	ty: TW 🗆	KD □	Aflatox	in 🗆	Vomitoxin	☐ Fum	onisin 🗆	Garlicky	☐ Dar	rk Roast [
		39. TOTAL	30.0							her□ N								42	2. TOTALS	10.0		10.0		10.0			
					41. Do ar									limits?	Yes 📙												
NAF	RATIV	E (If more	space is	need	ed, attac	h a Speci	al Repo	rt)	Ac	res deter	mined us	sing GPS.															
									_			_															
		I - DETERN arvest Comp		ARVE	STED PRO			ilar to o	thor fo	rms in the	2022	_		1E Acci	gnment o	flador	onity			16 Te	ansfer of Rig	aht to Indon	onity?				
40.	Date no		DD/YYYY	,		44. Dai	nage sin	Yes	_	X No	area:			+D. M551	_	Yes [_	lo X		46. 11	ansier of Nig Yes	No No	X				
Α 1	MEASI	JREMENTS				B GB	nee pp	DDUCTI		A NO	CAD	JUSTME	UTS TO I	JABVE				· ×				1110					
47a				Т	1		T			_		58a.	59		60a.						64a.						
47b	49	. 49.	50.	51.	52.	53.	54.	5	5.	56.	57.	58b.	59		60b.	61.		62.	63.	-	64b.	65.		66.			
Share	e Mui	ti- Length				Net	Conve		\neg	Bu. Top	Shell/	FM%	Mois		est WT				Product	tion	Value		D,	oduction			
	I om		Width	Depti	Deduc-	Cubic	sion	Gro		Lbs.	Sugar		96	L'3	- 1	Adjuste roduct		Prod. Not	Pre-Q			Quality Fa		Count			
Field ID	Coc			Сърг	tion	Feet	Facto	Pro	od.	CWT	Factor	Factor	Fact	tor F	actor	Toduct	1011	to Count		·	Vlkt. Price	ζου, το					
	NS	\$	K Prune I				4			7.3						7.3			7.3					7.3			
			Anytow							7.5									7								
	NS	\$	me Fresh Anytow		-		1		\mathbf{A}	3.5	0.333					1.2			1.2			-		1.2			
	•								. "			_						67. TOTAL	8.5		68.	Section II T	otal	8.5			
	69. Section I Total 10.0																										
1	This form example does not illustrate all required entry items (e.g., signatures, dates, etc.).												18.5														
1				Th	iis form	example	does	not illu	ıstrat	e all req	uired ei	ntry iten	ns (e.g.,	, signa	tures, d	iates,	etc.).				71. /	Allocated Pr	rod.				
																					72. 1	Total APH Pr	rod.	18.5			

Exhibit 5 Minimum Representative Sample Requirements

Acres in Unit/Block	Minimum Number of Samples
0.1 - 10.0	The lesser of 5 trees or 5% of the number of trees.

One additional tree is required for each additional 10.0 acres (or fraction thereof) in the unit/block.

Exhibit 6 Number of Trees per Acre

To calculate the number of trees per acre, multiply the distance between trees (nearest tenth foot) by the distance between rows (nearest tenth foot) and divide this result to tenths into 43,560 sq. ft. per acre (round to the nearest whole number).

Example: $6.5 \text{ ft.} \times 10.0 \text{ ft.} = 65.0 \text{ sq. ft.}$

 $43,560 \div 65.0 = 670$ trees per acre

Important: Refer to the LAM for additional information on calculating the number of trees per acre.

The diagram below depicts examples of tree populations for common/tree row spacings.

											DIST	ANCE	BETV	VEEN	ROW	s (IN I	FEET)										
		10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35
	10	436	396	363	335	311	290	272	256	242	229	218	207	198	189	182	174	168	161	156	150	145	141	136	132	128	124
	11		360	330	305	283	264	248	233	220	208	198	189	180	172	165	158	152	147	141	137	132	128	124	120	116	113
	12			303	279	259	242	227	214	202	191	182	173	165	158	151	145	140	134	130	125	121	117	113	110	107	104
	13				258	239	223	209	197	186	176	168	160	152	146	140	134	129	124	120	116	112	108	105	102	99	96
	14					222	207	194	183	173	164	156	148	141	135	130	124	120	115	111	107	104	100	97	94	92	89
F	15						194	182	171	161	153	145	138	132	126	121	116	112	108	104	100	97	94	91	88	85	83
DISTANCE BETWEEN TREES (IN FEET)	16							170	160	151	143	136	130	124	118	113	109	105	101	97	94	91	88	85	83	80	78
Z	17								151	142	135	128	122	116	111	107	102	99	95	92	88	85	83	80	78	75	73
ss (18									134	127	121	115	110	105	101	97	93	90	86	83	81	78	76	73	71	69
EE	19										121	115	109	104	100	96	92	88	85	82	79	76	74	72	69	67	66
E	20											109	104	99	95	91	87	84	81	78	75	73	70	68	66	64	62
	21												99	94	90	86	83	80	77	74	72	69	67	65	63	61	59
≥	22													90	86	83	79	76	73	71	68	66	64	62	60	58	57
BE	23														82	79	76	73	70	68	65	63	61	59	57	56	54
밀	24															76	73	70	67	65	63	61	59	57	55	53	52
ΙÆ	25																70	67	65	62	60	58	56	54	53	51	50
SIS	26																	64	62	60	58	56	54	52	51	49	48
-	27																		60	58	56	54	52	50	49	47	46
	28																			56	54	52	50	49	47	46	44
	29																				52	50	48	47	46	44	43
	30																					48	47	45	44	43	41
	31																						45	44	43	41	40
	32																							43	41	40	39
	33																								40	39	38
	34																									38	37
	35																										36

Exhibit 7 Prune Survival Conversions

Use the below chart to obtain a factor for percent survival used in item 23 "Percent Survival Conversion" on the prune appraisal worksheet. Obtain the applicable "Reference Date" from the RMA Product Management Bulletin for the applicable crop year.

Period	Percent Survival Rate (%)
"Reference Date" through Day 15	60
Day 16 through Day 30	65
Day 31 through Day 45	70
Day 46 through Day 60	75
Day 61 through Day 75	80
Day 76 through Day 90	85
Day 91 through Day 105	90
Day 106 through Day 115	95
Day 116 through Harvest	100

Examples:

(1) Day 10 from "Reference Date" and the average prune count per tree is 1,181.

 $1,181 \times 0.60 = 709$ prunes to count

(2) Day 46 from "Reference Date" and the average prune count per tree is 1,001.

 $1,001 \times 0.75 = 751$ prunes to count

(3) Day 119 from "Reference Date" and the average prune count per tree is 709.

 $709 \times 1.00 = 709$ prunes to count

Note: Percentages are expressed as two-place decimals.

The below table may be revised as required for certain insured counties and/or areas. This table displays the predicted average harvest size of dry prunes per pound. Use this table from the "Reference Date" to 15 days after the "Reference Date."

Example: On the "Reference Date" there are 68 green prunes per pound, the below table predicts there will be 47 dry prunes per pound at harvest.

Reference Date Size (Green) (fruit count/lb.)	Predicted Harvest Size (Dry) (fruit count/lb.)
50	33
51	33
52	34
53	35
54	36
55	37
56	37
57	38
58	39
59	40
60	41
61	41
62	42
63	43
64	44
65	45
66	46
67	46
68	47
69	48
70	49
71	50
72	51
73	52
74	53
75	54
76	54
77	55
78	56
79	57
80	58
81	59
82	60
83	61
84	62

Reference Date	Predicted Harvest Size
Size (Green)	(Dry)
(fruit count/lb.)	(fruit count/lb.)
85	63
86	64
87	65
88	66
89	67
90	68
91	69
92	70
93	71
94	72
95	73
96	74
97	75
98	77
99	78
100	79
101	80
102	81
103	82
104	83
105	84
106	86
107	87
108	88
109	89
110	90
111	92
112	93
113	94
114	95
115	96
116	98
117	99
118	101
119	102

Reference Date	Predicted Harvest Size
Size (Green)	(Dry)
(fruit count/lb.)	(fruit count/lb.)
120	103
121	104
122	106
123	107
124	108
125	110
126	111
127	112
128	114
129	115
130	117
131	118
132	120
133	121
134	123
135	124
136	126
137	127
138	129
139	130
140	132
141	133
142	135
143	137
144	138
145	140
146	142
147	143
148	145
149	147
150	148
151	150
152	152
153	153