

CROPS WHICH ALLOW MULTIPLE TYPE 14 RECORDS
(Different Coverage Levels and/or Price Election Factors by Type)

Crop Name	Crop Code	Ins Plan Plan	States(s)	
Grapes	0053	90	Idaho Oregon Washington	If the producer selects a different Coverage Level or Price Election Factor by variety group, a separate type 14 record is required.
	0053 0052	90	California	Submit a separate type 14 record for each Grape type insured.
	0052	90	Arizona	
Nursery	0073	50	All	Submit a separate type 14 record for each Nursery type insured.
Dry Beans	0047	90	All	If the producer selects a different Coverage Level or Price Election Factor by Dry Bean or Dry Pea type, a separate type 14 record is required.
Dry Peas	0067	90	All	
Florida Citrus	0245 0246 0247 0248 0249 0250 0251 0252 0253	50	12	If the producer selects a different Price Election Factor by type a separate type 14 record is required.
Wheat	0011	12	All	If the producer selects a different Coverage Level or Price Election Factor by type or practice a separate type 14 record is required.
Cotton	0021			
Corn	0041			
Forage Production	0033			
Grain Sorghum	0051			
Peanuts	0075			
Soybeans	0081			
Barley	0091			
PRF	0088	13&14	All	If a producer selects a different Coverage Level or Productivity Factor by type, a separate type 14 record is required.
Corn	0041	73	All	If the producer selects a different Coverage Level or Price Election
Cotton	0021			

June 30, 2009

Exhibit 14-1

FCIC-Appendix III

Grain Sorghum	0051
Soybeans	0081
Wheat	0011

Factor by type or practice a
separate type 14 record is

----- RESERVED -----

Duplicate Edits

1. General Duplicate Edits:

- a. Edits use Primary Tax ID type and number.
- b. DAS identifies potential duplicate policies by identifying policies with the Same ID Number, ID Type, Location State, Location County, Crop Code and Type (note: type is applicable for California and Arizona Grapes (crop code 0052/0053) which allow policies by grape type)
- c. DAS will determine policy ownership by comparing the signature date & transfer flag fields on 14 record
 - i. If any of the duplicate policies are indicated as a "transfer and cancellation" policy on the 14 record, the duplicate process will use the earliest signature date for the current sales period to determine ownership.
 1. The sales period starts the day following the earliest sales closing date for the previous crop year and continues through the latest sales closing date for the current crop year.
 - ii. If none of the duplicate policies are indicated as being a "transfer and cancellation" policy, then ownership is determined by the earliest signature date without regard to the sales period.
 - iii. DAS will flag the duplicate ISAM with the appropriate flag of owner & duplicate policy.
- d. The duplicate edits are not performed when:
 - i. The entity type is equal to "B" Bureau of Indian Affairs
 - ii. The crop code is hybrid seed (0050/0062)
 - iii. If the duplicates occur for the same RO and one policy is cat coverage for high risk land (Record type 14, dual coverage flag).
- e. The duplicate edits contain "crop code" in the key for determination of whether there is a duplicate policy.
- f. DAS will reject premium records for both the owner and duplicate policy:
 - i. Premium records for both policies (owner & duplicate) will be rejected by DAS, as well as any dependent records.
 1. DAS will reject the premium records for the incoming duplicate record and dependent record types.
 2. DAS will populate the duplicate ISAM and will flag the ISAM with a code indicating record was rejected/deleted.
 3. If the other duplicate policy record was previously accepted, then DAS will flag the duplicate ISAM with a flag indicating that the record was deleted from the database.
 - a. The Saturday process will build a file from the flagged policies on the ISAM for the deleted policies. When the database loads are performed, the policies contained in this file will be processed and policy records will be removed from the database. A report will be built for the weekly deleted policies and available to companies via the RO server to provide a weekly listing of those duplicate policies removed from the database.

2. SAME ENTITY TYPE:

- a. If the AIP is the same: DAS will reject the duplicate (non-owner) policy with a 14090 error. All dependent records will be rejected (9 record will not be rejected). The duplicate record will be rejected (not accepted) therefore; Item 1f won't apply, because the duplicate record was not accepted.
- b. AIP is different: DAS will accept the owner & duplicate policy (policy will be in both the .rej and .acp files) as a duplicate, but issue warning to the company (14091). Exception to item 2a & 2b: Entity type of "J", "T" or "R" can contain multiple policies with the same ID number and ID type. Therefore, DAS should accept the records for the same AIP or different AIP's and issue a potential duplicate warning message to the company - the company should not have premium or loss records rejected if the duplicated policy information is for entity type "J", "T" or "R". Item 1f will NOT apply, except if the entities equal "J" and the id type is the same and equal to 2 (EIN) - Item 1f would then apply. If the entity type is "C" Corporation, "P" Partnership, "E" Associations, Clubs, Private Schools, and/or Tax Exempt Organization (including religious groups), "G" Government Receiver or Liquidator, "H" Public Agency State, "V" Public Agency County, "W" Public Agency City, or "A" Public Schools, another entity type should not contain the same ID Number and type. Item 1f will apply.

3. DIFFERENT ENTITY TYPE -

- a. AIP is the same OR different: DAS will accept the duplicate policy as a possible duplicate, but issue 14092 warning. Policy data will appear on both the .acp and .rej files. Item 1f will not apply.
- b. Entity types: "I", "S", "J", "X", "U", "D", "T" or "R" are allowed to report policy information using an SSN. The same ID number, and ID type may be used for each of these entity types which is acceptable within the guidelines of the Crop Insurance Handbook. Therefore, DAS will not reject premium or loss data. DAS will provide a warning message to the company that a possible duplicate policy situation exists yet accept the records. Item 1f will NOT apply.
 - i. Warnings are generated because entity types for Individuals (I), Spouses (S), Individual Operating as Company (X), Joint Ventures (J), Undivided Interest (U), Estates (D), Revocable Trusts (R) and Irrevocable Trusts (T) may have the same duplicate key because an entity may use the SSN and have a separate policy for each type of entity.
- c. If the entity type is "C" Corporation, "P" Partnership, "E" Associations, Clubs, Private Schools, and/or Tax Exempt Organization (including religious groups), "G" Government Receiver or Liquidator, "H" Public Agency State, "V" Public Agency County, "W" Public Agency City, or "A" Public Schools another entity type should not contain the same ID number and type. Company will receive a warning message unless the entity type is C, J or P and the Id Number is an EIN, then item 1f will apply.

Duplicate Error Numbers:

- 14090:** Duplicate policy with submitting AIP
14091: Warning: Duplicate Policy with another AIP with Duplicate flag = "D" or "O"
14092: Warning: Duplicate Policy with another AIP or within same AIP with duplicate flag = "P"
14094: dup error - ensures that policy goes through the Dup process

Note: For DAS Warning messages, policy data will appear on .rej and .acp file

D = Duplicate Policy. Policy or policies that are marked with "D" are identified as policy or policies that are being duplicated with another policy which marks an owner policy.

O = Owner Policy. Policy or policies that are marked with "O" are identified as the owner policy.

P = Possible Duplicate Policy. Policy or policies that are marked with "P" are identified as policy or policies that are possible or potential of being duplicated with another policy which marks an owner policy.

Duplicate Policy Removal Process:

After weekly cutoff, the IPRYOWN and IPYDUP reports will be generated from the duplicate policy information captured during DAS processing. RMA will remove DAS determined duplicate crop/county policy records, in the IPRYDUP report from the Dup ISAM and the policy database.

Once this weekend process is complete, the DAS determined 'owner' policy will remain in the DAS System and should not receive a duplicate error when re-transmitted. Approved Insurance Providers must take action to ensure that duplicate policies listed in the IPRYDUP report are NOT re-transmitted to DAS.

-----RESERVED-----

2009 Crops by Plan Code for which "CAT" Coverage is NOT AVAILABLE:

<u>Plan Code</u>	<u>Crop Code</u>	<u>Crop</u>
GRIP (73)	0011	Wheat
	0021	Cotton
	0041	Corn
	0051	Grain Sorghum
	0081	Soybeans
RA (25)	0011	Wheat
	0015	Canola
	0018	Rice
	0021	Cotton
	0041	Corn
	0078	Sunflowers
	0081	Soybeans
CRC (44)	0011	Wheat
	0018	Rice
	0021	Cotton
	0041	Corn
	0051	Grain Sorghum
PRF (13&14)	0088	Pasture, Rangeland, and Forage
	1191	Apiculture
ARH (47)	0057	Cherries